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Learning Approximations of Recursive Concepts

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Abstract

This paper provides a systematic study of inductive inference of indexable concept classes in learning scenarios where the learner is successful if its final hypothesis describes a finite variant of the target concept, i.e., learning with anomalies. Learning from positive data only and from both positive and negative data is distinguished.

The following learning models are studied: learning in the limit, finite identification, set-driven learning, conservative inference, and behaviorally correct learning.

The attention is focused on the case that the number of allowed anomalies is finite but not *a priori* bounded. However, results for the special case of learning with an *a priori* bounded number of anomalies are presented, too. Characterizations of the learning models with anomalies in terms of finite tell-tale sets are provided. The observed varieties in the degree of recursiveness of the relevant tell-tale sets are already sufficient to quantify the differences in the corresponding learning models with anomalies. Finally, a complete picture concerning the relations of all models of learning with and without anomalies mentioned above is derived.

1. Introduction

Induction constitutes an important feature of learning. The corresponding theory is called inductive inference. Inductive inference may be characterized as the study of systems that map evidence on a target concept into hypotheses about it. Investigating scenarios in which the sequence of hypotheses stabilizes to an accurate and finite description of the target concept is of particular interest. Precise definitions of the notions evidence, stabilization, and accuracy go back to Gold [11] who introduced the model of learning in the limit.

The present paper deals with inductive inference of indexable classes of recursive concepts (indexable classes, for short). A concept class is said to be an indexable class if it possesses an effective enumeration with uniformly decidable membership. Angluin [2] started the systematic study of learning indexable concept classes. Her pioneering paper and succeeding publications (cf. Zeugmann and Lange [20], for an overview) attracted attention, since most natural concept classes are indexable. For example, the class of all context sensitive, context free, regular, and pattern languages as well as the set of all boolean formulas expressible by a monomial, a k -CNF, a k -DNF, and a k -decision list constitute indexable classes.

As usual, we distinguish learning from positive data and learning from positive and negative data, synonymously called learning from text and informant, respectively. A text for a target concept c is an infinite sequence of elements of c such that every element from c eventually appears. Alternatively, an informant is an infinite sequence of elements exhausting the underlying learning domain that are classified with respect to their containment in the target concept.

An algorithmic learner, henceforth called inductive inference machine (abbr. IIM), takes as input larger and larger initial segments of a text (an informant) and outputs, from time to time, a hypothesis about the target concept. The set of all admissible hypotheses is called hypothesis space. When learning of indexable classes is considered, it is only natural to require that the hypothesis space is an effective enumeration of a (possibly) larger indexable concept class. This assumption underlies almost all studies (cf., e.g., [2, 20]).

Gold's [11] original model requires the sequence of hypotheses to converge to a hypothesis *correctly* describing the target concept. However, from a viewpoint of potential applications, it suffices in most cases that the final hypothesis approximates the target concept sufficiently well. To capture this aspect, Blum and Blum [5] introduced a quite natural refinement of Gold's model. In their setting of learning recursive functions with anomalies, it is admissible that the learner's final hypothesis may differ from the target function at finitely many data points. Case and Lynes [7] adapted this model to language learning.

Learning with anomalies has been intensively studied in the context of learning recursive functions and recursively enumerable languages (cf., e.g., Case and Smith [8], Daley [9], Kinber and Zeugmann [13], Jain *et al.* [12] and the references therein).

Preliminary results concerning the learnability of indexable classes with anomalies can be found in Tabe and Zeugmann [18]. Note that Baliga *et al.* [3] studied the learnability of indexable classes with anomalies, too. However, unlike all other work on learning indexable classes, Baliga *et al.* [3] allow the use of arbitrary hypothesis spaces including those not having a decidable membership problem. Therefore, the results from Baliga *et al.* [3] do not directly translate into our setting.

The present paper provides a systematic study of learning indexable concept classes with anomalies. We investigate the following variants of Gold-style concept learning: learning in the limit, finite identification, set-driven learning, conservative inference, and behaviorally correct learning. We relate the resulting models of learning with anomalies to one another as well as to the corresponding versions of learning without anomalies. We mainly focus our attention to the case that the number of allowed anomalies is finite but not *a priori* bounded. However, we also present results that affect the special case that the number of allowed anomalies is *a priori* bounded.

Finally, we mention prototypical results. In case of learning with anomalies from positive data, the learning power of set-driven learners, conservative learners, and unconstrained IIMs does coincide. In contrast, when anomaly-free learning is considered, conservative inference and set-driven learning are strictly less powerful. A further difference to learning without anomalies is obtained by showing that behaviorally correct learning with anomalies is strictly more powerful than learning in the limit with anomalies. Furthermore, if the number of allowed anomalies is finite but not *a priori* bounded, then there is no need to use arbitrary hypothesis spaces for designing superior behaviorally correct learners, thus refining the corresponding results by Baliga *et al.* [3]. However, if the number of anomalies is *a priori* bounded, it is advantageous to use arbitrary hypothesis spaces. For establishing these results, we provided characterizations of the corresponding models of learning with anomalies in terms of finite tell-tale sets (cf. Angluin [2]). The observed varieties in the degree of recursiveness of the relevant tell-tale sets are already sufficient to quantify the differences in the corresponding learning models with anomalies.

2. Preliminaries

2.1. Basic notions

Let $\mathbb{N} = \{0, 1, 2, \dots\}$ be the set of all natural numbers and let $\mathbb{N}^+ = \mathbb{N} \setminus \{0\}$. By $\langle \cdot, \cdot \rangle: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ we denote Cantor's pairing function. Let A and B be sets. As usual, $A \triangle B$ denotes the symmetrical difference of A and B , i.e., $A \triangle B = (A \setminus B) \cup (B \setminus A)$. We write $A \# B$ to indicate that $A \triangle B \neq \emptyset$. For all $a \in \mathbb{N}$, $A =^a B$ iff $\text{card}(A \triangle B) \leq a$, while $A =^* B$ iff $\text{card}(A \triangle B) < \infty$.

Any recursively enumerable set \mathcal{X} is called a *learning domain*. By $\wp(\mathcal{X})$ we denote the power set of \mathcal{X} . Let $\mathcal{C} \subseteq \wp(\mathcal{X})$ and let $c \in \mathcal{C}$. We refer to \mathcal{C} and c as to a *concept*

class and a *concept*, respectively. Sometimes, we will identify a concept c with its characteristic function, i.e., we write $c(x) = +$, if $x \in c$, and $c(x) = -$, otherwise.

We study the learnability of indexable concept classes (cf. Angluin [2]). A class of non-empty concepts \mathcal{C} is said to be an *indexable concept class* if there are an effective enumeration $(c_j)_{j \in \mathbb{N}}$ of all and only the concepts in \mathcal{C} and a recursive function f such that, for all $j \in \mathbb{N}$ and all $x \in \mathcal{X}$, $f(j, x) = c_j(x)$ holds. By \mathcal{IC} we denote the collection of all indexable classes.

Let $(T_j)_{j \in \mathbb{N}}$ be a family of finite sets. $(T_j)_{j \in \mathbb{N}}$ is said to be *uniformly recursively enumerable* (*recursively enumerable*, for short) iff there is an effective procedure that, on every input $j \in \mathbb{N}$, enumerates the finite set T_j . Moreover, $(T_j)_{j \in \mathbb{N}}$ is said to be *uniformly recursively generable* (*recursively generable*, for short) iff there is an effective procedure that, on every input $j \in \mathbb{N}$, generates all elements of the finite set T_j and *stops*.

2.2. Gold-style concept learning

Let \mathcal{X} be a learning domain, let $c \subseteq \mathcal{X}$ be a concept, and let $t = (x_n)_{n \in \mathbb{N}}$ be an infinite sequence of elements from c such that $\{x_n \mid n \in \mathbb{N}\} = c$. Then, t is said to be a *text* for c . By $\text{Text}(c)$ we denote the set of all texts for c . Let t be a text and let $y \in \mathbb{N}$. Then, t_y denotes the initial segment of t of length $y + 1$, and we set $\text{content}(t_y) = \{x_n \mid n \leq y\}$. Furthermore, let $\sigma = x_0, \dots, x_{n-1}$ be any finite sequence. Then we use $|\sigma|$ to denote the *length* n of σ , and let $\text{content}(\sigma)$ denote the content of σ . Let c be a concept; then we write $\text{SegText}(c)$ for the set of all finite sequences of elements from c . Additionally, let t be a text and let τ be a finite sequence; then we use $\sigma \diamond t$ and $\sigma \diamond \tau$ to denote the sequence obtained by *concatenating* σ onto the front of t and τ , respectively. Furthermore, we write $\sigma \sqsubset \tau$ and $\sigma \sqsubset t$ in case that σ constitutes a proper initial segment of τ and t , respectively.

Let $(w_j)_{j \in \mathbb{N}}$ be the lexicographically ordered enumeration of all elements in the learning domain \mathcal{X} , let $c \subseteq \mathcal{X}$ be a concept, and m be the least number such that $w_m \in c$. Then, the canonical text $t^c = (x_n)_{n \in \mathbb{N}}$ for c is defined as follows: $x_0 = w_m$. For all $n \in \mathbb{N}$, if $w_{n+1} \in c$ then $x_{n+1} = w_{n+1}$, otherwise $x_{n+1} = x_n$. Furthermore, for every indexable class \mathcal{C} we set $\text{Text}(\mathcal{C}) = \bigcup_{c \in \mathcal{C}} \text{Text}(c)$.

As in Gold [11], we define an *inductive inference machine* (abbr. IIM) to be an algorithmic mapping from initial segments of texts to $\mathbb{N} \cup \{?\}$. Thus, an IIM either outputs a hypothesis, i.e., a number encoding a certain computer program, or “?” a special symbol representing the case where the machine outputs “no conjecture.” Note that an IIM, when learning a target class \mathcal{C} , is required to produce an output on every initial segment of all texts in $\text{Text}(\mathcal{C})$.

The numbers output by an IIM are interpreted with respect to a suitably chosen *hypothesis space* $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$. Since we exclusively deal with the learnability of classes $\mathcal{C} \in \mathcal{IC}$, we always assume that \mathcal{H} is also an indexing of some possibly larger indexable

concept class. Hence, membership is uniformly decidable in \mathcal{H} , too. When an IIM M outputs some number j , we interpret it to mean that M hypothesizes h_j .

Let $\mathcal{C} \in \mathcal{IC}$, let $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ be a hypothesis space, and let $a \in \mathbb{N} \cup \{*\}$. If $\mathcal{C} = \{h_j \mid j \in \mathbb{N}\}$, then \mathcal{H} is said to be a *class preserving* hypothesis space for \mathcal{C} (cf. Lange and Zeugmann [15]). Furthermore, \mathcal{H} is called *class admissible* hypothesis space for \mathcal{C} with respect to a provided that, for every $c \in \mathcal{C}$, there is an index j such that $h_j =^a c$ (cf. Tabe and Zeugmann [18]). If $a = 0$, then \mathcal{H} constitutes a *class comprising* hypothesis space (cf. [15]).

We define convergence of IIMs as usual. Let t be a text and let M be an IIM. The sequence $(M(t_y))_{y \in \mathbb{N}}$ of M 's hypotheses *converges* to a number j iff all but finitely many terms of it are equal to j .

Now, we are ready to define *learning in the limit*.

Definition 1 (Gold [11], Case and Lynes [7]). *Let $\mathcal{C} \in \mathcal{IC}$, let c be a concept, let $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ be a hypothesis space, and let $a \in \mathbb{N} \cup \{*\}$. An IIM M $\text{Lim}^a \text{Txt}_{\mathcal{H}}$ -identifies c iff, for every $t \in \text{Text}(c)$, there is a $j \in \mathbb{N}$ with $h_j =^a c$ such that the sequence $(M(t_y))_{y \in \mathbb{N}}$ converges to j .*

Furthermore, M $\text{Lim}^a \text{Txt}_{\mathcal{H}}$ -identifies \mathcal{C} iff M $\text{Lim}^a \text{Txt}_{\mathcal{H}}$ -identifies each $c' \in \mathcal{C}$.

Finally, $\text{Lim}^a \text{Txt}$ denotes the collection of all classes $\mathcal{C}' \in \mathcal{IC}$ for which there are a hypothesis space $\mathcal{H}' = (h'_j)_{j \in \mathbb{N}}$ and an IIM M' that $\text{Lim}^a \text{Txt}_{\mathcal{H}'}$ -identifies \mathcal{C}' .

Subsequently, we write LimTxt instead of $\text{Lim}^0 \text{Txt}$. We adopt this convention to all learning types defined below.

In general, it is not decidable whether or not an IIM has already converged on a text t for the target concept c . Adding this requirement to the above definition results in *finite learning* (cf. Gold [11]). The corresponding learning type is denoted by $\text{Fin}^a \text{Txt}$, where again $a \in \mathbb{N} \cup \{*\}$.

Definition 2 (Gold [11]). *Let \mathcal{C} be an indexable class, let c be a concept, let $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ be a hypothesis space, and let $a \in \mathbb{N} \cup \{*\}$. An IIM M $\text{Fin}^a \text{Txt}_{\mathcal{H}}$ -identifies c iff, for every $t \in \text{Text}(c)$, there exist $j, m \in \mathbb{N}$ such that $c =^a h_j$, $M(t_r) = ?$ for all $r < m$, and $M(t_y) = j$ for all $y \geq m$.*

Furthermore, M $\text{FinTxt}_{\mathcal{H}}$ -identifies \mathcal{C} iff M $\text{FinTxt}_{\mathcal{H}}$ -identifies each $c' \in \mathcal{C}$.

Next, we define *conservative* IIMs. Conservative IIMs maintain their actual hypothesis at least as long as they have not seen data contradicting it.

Definition 3 (Angluin [2]). *Let $\mathcal{C} \in \mathcal{IC}$, let c be a concept, let $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ be a hypothesis space, and let $a \in \mathbb{N} \cup \{*\}$. An IIM M $\text{Consv}^a \text{Txt}_{\mathcal{H}}$ -identifies c iff M $\text{Lim}^a \text{Txt}_{\mathcal{H}}$ -identifies c and, for all $t \in \text{Text}(c)$ and for any two consecutive hypotheses $k = M(t_y)$ and $j = M(t_{y+1})$, if $k \in \mathbb{N}$ and $k \neq j$, then $\text{content}(t_{y+1}) \not\subseteq h_k$.*

Finally, M $\text{Consv}^a \text{Txt}_{\mathcal{H}}$ -identifies \mathcal{C} iff M $\text{Consv}^a \text{Txt}_{\mathcal{H}}$ -identifies each $c' \in \mathcal{C}$.

For every $a \in \mathbb{N} \cup \{*\}$, the resulting learning type $\text{Consv}^a \text{Txt}$ is defined analogously to Definition 1.

Next, we define *set-driven* IIMs. Intuitively speaking, the output of a set-driven IIM depends exclusively on the *content* of its input, thereby ignoring the order as well as the frequency in which the examples occur.

Definition 4 (Wexler and Culicover [19]). *Let $\mathcal{C} \in \mathcal{IC}$, let c be a concept, let $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ be a hypothesis space, and let $a \in \mathbb{N} \cup \{*\}$. An IIM M $Sdr^a Txt_{\mathcal{H}}$ -identifies c iff M $Lim^a Txt_{\mathcal{H}}$ -identifies c and, for all $t, t' \in Text(\mathcal{C})$ and for all $n, m \in \mathbb{N}$, if $content(t_n) = content(t'_m)$, then $M(t_n) = M(t'_m)$.*

Furthermore, M $Sdr^a Txt_{\mathcal{H}}$ -identifies \mathcal{C} iff M $Sdr^a Txt_{\mathcal{H}}$ -identifies each $c' \in \mathcal{C}$.

For every $a \in \mathbb{N} \cup \{*\}$, the resulting learning type $Sdr^a Txt$ is defined analogously to Definition 1.

Next, we define *behaviorally correct learning*.

Definition 5 (Barzdin [4], Case and Lynes [7]). *Let $\mathcal{C} \in \mathcal{IC}$, let c be a concept, let $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ be a hypothesis space, and let $a \in \mathbb{N} \cup \{*\}$. An IIM M $Bc^a Txt_{\mathcal{H}}$ -identifies c iff, for every $t \in Text(c)$ and for all but finitely many $y \in \mathbb{N}$, $h_{M(t_y)} =^a c$.*

Furthermore, M $Bc^a Txt_{\mathcal{H}}$ -identifies \mathcal{C} iff M $Bc^a Txt_{\mathcal{H}}$ -identifies each $c' \in \mathcal{C}$.

For every $a \in \mathbb{N} \cup \{*\}$, the resulting learning type $Bc^a Txt$ is defined analogously to Definition 1.

Finally, we define *consistent* IIMs (cf. Gold [11]). Let $\mathcal{C} \in \mathcal{IC}$, let \mathcal{H} be a hypothesis space, and let M be an IIM. Then, M is said to be consistent for \mathcal{C} with respect to \mathcal{H} provided that, for all $t \in Text(\mathcal{C})$ and all $y \in \mathbb{N}$, if $M(t_y) = k$ for some $k \in \mathbb{N}$, then $content(t_y) \subseteq h_k$. Intuitively speaking, the hypotheses of a consistent IIM correctly reflect the data on which they were built upon. For all $a \in \mathbb{N} \cup \{*\}$ and all learning types $Lt^a Txt$ defined above, we let $c-Lt^a Txt$ denote the collection of all classes $\mathcal{C} \in \mathcal{IC}$ for which there are a hypothesis space \mathcal{H} and a consistent IIM that $Lt^a Txt_{\mathcal{H}}$ -identifies \mathcal{C} .

3. Learning from positive data

In this section, we study the power and the limitations of the various models of learning with anomalies. We relate these models to one another as well as to the different models of anomaly-free learning. We are mainly interested in the case that the number of allowed anomalies is finite but not *a priori* bounded. For giving an impression of how the overall picture changes when the number of allowed anomalies is *a priori* bounded, we also present results for this case.

Proposition 1 summarizes the known relations between the considered models of anomaly-free learning from text.

Proposition 1 (Gold [11], Lange and Zeugmann [15]).

$FinTxt \subset SdrTxt = ConsvTxt \subset LimTxt = BcTxt \subset \mathcal{IC}$.

In the setting of learning recursive functions the first observation made when comparing learning in the limit with anomalies to behaviorally correct inference was the

error correcting power of Bc-learners, i.e., $Ex^* \subseteq Bc$ (cf., e.g., Case and Smith [8]). Interestingly enough, this result did not translate into the setting of learning recursively enumerable languages from positive data. But still, a certain error correcting power is preserved in this setting, since $LimTxt^a \subseteq BcTxt^b$ provided $a \leq 2b$ (cf. Case and Lynes [7]).

When comparing learning with and without anomalies in our setting of learning indexable classes, it turns out that even finite inference may become more powerful than Bc-learning.

Theorem 1. $Fin^1Txt \setminus BcTxt \neq \emptyset$.

Proof. Let $c = \{b\}^*$ and, for all $k \in \mathbb{N}$, let $c_k = c \setminus \{b^k\}$. Let \mathcal{C} be the collection of c and of all infinite concepts c_k . It is folklore that $\mathcal{C} \notin LimTxt$, and thus $\mathcal{C} \notin BcTxt$ (cf. Proposition 1). Finally, since, for all $k \in \mathbb{N}_+$, $c =^1 c_k$, an IIM that always guesses c witnesses $\mathcal{C} \in Fin^1Txt$. \square

However, the opposite is also true. For instance, PAT , the well-known class of all pattern languages¹ (cf. Angluin [2]), witnesses the even stronger result:

Theorem 2. $ConsvTxt \setminus Fin^*Txt \neq \emptyset$.

Proof. Recall that $PAT \in ConsvTxt$ (cf. Angluin [2]). Furthermore, PAT contains a singleton language L as well as an infinite language L' with $L \subset L'$. Since every initial segment of a text for L constitutes an initial segment of a text for L' and since $L \neq^* L'$, no IIM can Fin^*Txt -identify L and L' . \square

3.1. The case of a finite number of anomalies

As we shall see, the relations between the standard learning models change considerably, if it is no longer required that the learner almost always outputs hypotheses correctly describing the target concept. The following picture displays the established coincidences and differences by relating the models of learning with anomalies to one another and by ranking them in the hierarchy of the models of anomaly-free learning.

$$\begin{array}{ccccccccc} Fin^*Txt & \subset & Sdr^*Txt & = & Consv^*Txt & = & Lim^*Txt & \subset & Bc^*Txt & \subset & \mathcal{IC} \\ \cup & & \cup & & \cup & & \cup & & \cup & & \\ FinTxt & \subset & SdrTxt & = & ConsvTxt & \subset & LimTxt & = & BcTxt & \subset & \mathcal{IC} \end{array}$$

To achieve the overall picture, we establish characterizations of all models of learning with a finite but not *a priori* bounded number of anomalies. On the one hand, we present characterizations in terms of finite tell-tale sets. On the other hand, we prove that some of the learning models coincide.

¹Let Σ be a non-empty finite alphabet of symbols and let X be an infinite set of variables such that $\Sigma \cap X = \emptyset$. Then, every non-empty string in $(\Sigma \cup X)^*$ constitutes a pattern. The language $L(p)$ defined by a pattern p is the set of all strings that can be obtained by replacing the variables in p by non-empty strings from Σ^* . Thereby, each occurrence of a variable has to be replaced by the same string. Now, PAT is the set of all languages L for which there is a pattern p such that $L = L(p)$.

The characterizations of Lim^*Txt and Fin^*Txt are similar to the known characterization of $LimTxt$ and $FinTxt$, respectively (cf. [2, 14]).

Proposition 2 (Tabe and Zeugmann [18]). *For all $\mathcal{C} \in \mathcal{IC}$: $\mathcal{C} \in Lim^*Txt$ iff there are an indexing $(c_j)_{j \in \mathbb{N}}$ of \mathcal{C} and a recursively enumerable family $(T_j)_{j \in \mathbb{N}}$ of finite sets such that*

- (1) for all $j \in \mathbb{N}$, $T_j \subseteq c_j$,
- (2) for all $j, k \in \mathbb{N}$, if $T_j \subseteq c_k \subseteq c_j$, then $c_k =^* c_j$.

Theorem 3. *For all $\mathcal{C} \in \mathcal{IC}$: $\mathcal{C} \in Fin^*Txt$ iff there are an indexing $(c_j)_{j \in \mathbb{N}}$ of \mathcal{C} and a recursively generable family $(T_j)_{j \in \mathbb{N}}$ of finite sets such that*

- (1) for all $j \in \mathbb{N}$, $T_j \subseteq c_j$,
- (2) for all $j, k \in \mathbb{N}$, if $T_j \subseteq c_k$, then $c_k =^* c_j$.

Proof. Necessity. Assume that a hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ and an IIM M that $Fin^*Txt_{\mathcal{H}}$ -learns \mathcal{C} are given. Moreover, let $(c_j)_{j \in \mathbb{N}}$ be any indexing of \mathcal{C} . The family $(T_j)_{j \in \mathbb{N}}$ is defined as follows.

Let $j \in \mathbb{N}$ and let t^{c_j} be the canonical text of c_j . Since M finitely infers c_j , there exists a least $y \in \mathbb{N}$ such that $M(t_y^{c_j}) = m$ for some $m \in \mathbb{N}$. We set $T_j = content(t_y^{c_j})$.

We have to show that $(T_j)_{j \in \mathbb{N}}$ satisfies the properties (1) and (2). By construction, (1) is obviously fulfilled. For proving (2), let $j, k \in \mathbb{N}$ such that $T_j \subseteq c_k$. Due to our construction, there is an initial segment of c_j 's canonical text t^{c_j} , say $t_y^{c_j}$, such that $content(t_y^{c_j}) = T_j$ and $M(t_y^{c_j}) = m$. Since M finitely learns c_j , we know $h_m =^* c_j$. Because of $T_j \subseteq c_k$, $t_y^{c_j}$ is also an initial segment of some text t for c_k . Taking into account that M finitely infers c_k from t and that $M(t_y) = m$, we get $h_m =^* c_k$, too.

Sufficiency. Let $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ be the hypothesis space such that $h_j = c_j$ for all $j \in \mathbb{N}$. It suffices to show that there is an IIM M that $Fin^*Txt_{\mathcal{H}}$ -identifies \mathcal{C} . So, let $c \in \mathcal{C}$, let $t \in Text(c)$, and let $y \in \mathbb{N}$.

IIM M : “On input t_y do the following:

If $y = 0$ or $M(t_{y-1}) = ?$, goto (A). Otherwise, output $j = M(t_{y-1})$.

(A) For $j = 0, \dots, y$, generate T_j and test whether or not $T_j \subseteq content(t_y)$. If there is a j passing the test, output the minimal one. Else, output “?”

One directly sees that M learns as required. □

In contrast to Proposition 1, when a finite but not *a priori* bounded number of errors in the final hypothesis is allowed, conservative IIMs become exactly as powerful as unconstrained IIMs.

Theorem 4. $Lim^*Txt = Consv^*Txt$.

Proof. Let $\mathcal{C} \in Lim^*Txt$, let $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ be a hypothesis space and let M be an IIM that $Lim^*Txt_{\mathcal{H}}$ -identifies \mathcal{C} . The conservative IIM M' uses the following hypothesis space \mathcal{H}' . For all $j \in \mathbb{N}$ and $x \in \mathcal{X}$, we set $h'_{j,x} = h_j \setminus \{x\}$. Let \mathcal{H}' be the canonical enumeration of all those concepts $h'_{j,x}$.

Let $c \in \mathcal{C}$, let $t = (x_j)_{j \in \mathbb{N}}$ be a text for c , and let $y \in \mathbb{N}$.

IIM M' : “On input t_y do the following:

If $M(t_y) = ?$, output “?” Otherwise, determine $j = M(t_y)$ and output the canonical index of h'_{j,x_0} in \mathcal{H}' .”

By construction, M' is conservative. Since M converges on t to a hypothesis describing a finite variant of the target concept c , M' will do as well. \square

The conservative IIM M' used above always outputs a hypothesis that definitely contradicts the data seen so far, and thus M' is inconsistent. Nevertheless, this slightly unconventional behavior guarantees that M' exclusively performs justified mind changes. Naturally, the question arose whether or not one can simulate the given IIM M by a learner that is both conservative and consistent. The affirmative answer is provided by Theorem 7. Before proving it, we need the following results which may be interesting in their own right.

Theorem 5. $Sdr^aTxt \subseteq c\text{-Consv}^aTxt$ for all $a \in \mathbb{N} \cup \{*\}$.

Proof. Let $a \in \mathbb{N} \cup \{*\}$ and let $\mathcal{C} \in Sdr^aTxt$. Let $(c_j)_{j \in \mathbb{N}}$ be an indexing of \mathcal{C} such that, for all $c \in \mathcal{C}$, there are infinitely many j with $c_j = c$. Moreover, let $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ be a hypothesis space, and let M be a set-driven IIM that $Lim^aTxt_{\mathcal{H}}$ -infers \mathcal{C} . Let \mathcal{X} be the underlying learning domain. Without loss of generality we may assume that M is total and consistent. That is, M always outputs a consistent hypothesis when fed any finite sequence $\sigma \in SegText(\mathcal{X})$. Hence, M when fed any text t for any $c \in \mathcal{C}$, converges to an index z such that $h_z =^a c$ and $c \subseteq h_z$.

Before defining the wanted conservative IIM M' , we specify a suitable hypothesis space $\hat{\mathcal{H}} = (\hat{h}_{\langle i,j,k \rangle})_{i,j,k \in \mathbb{N}}$.

For the sake of readability, in the following, we consider the given set-driven IIM M to be a learning device which receives finite sets of strings as input instead of finite sequences. Let $(F_j)_{j \in \mathbb{N}}$ denote any effective repetition-free enumeration of all finite subsets of \mathcal{X} . We assume that, given any finite $F \subseteq \mathcal{X}$, we may effectively determine F 's index $\#(F)$ in the enumeration $(F_j)_{j \in \mathbb{N}}$, i.e., $\#(F) = n$ with $F_n = F$. Let $(w_j)_{j \in \mathbb{N}}$ be the lexicographically ordered enumeration of all elements in \mathcal{X} . Moreover, for all $c \subseteq \mathcal{X}$ and all $m \in \mathbb{N}$, we denote by c^m the concept $\{w_z \mid z \leq m, w_z \in c\}$.

Let $i, j, k \in \mathbb{N}$. If $F_j \not\subseteq h_i \cap c_k$ or $M(F_j) \neq i$, we set $\hat{h}_{\langle i,j,k \rangle} = \{w_0\}$. Otherwise, for all $z \in \mathbb{N}$, we let $w_z \in \hat{h}_{\langle i,j,k \rangle}$ iff (i) or (ii) is fulfilled, where

- (i) $w_z \in F_j$.

(ii) $w_z \notin F_j$, $w_z \in h_i \cap c_k$ and, for all $V \subseteq h_i^z \cap c_k^z$, $M(F_j \cup V) = i$.

Note that, by construction, $\hat{h}_{\langle i,j,k \rangle}$ is finite or it equals $h_i \cap c_k$. Moreover, if $\hat{h}_{\langle i,j,k \rangle} \neq \{w_0\}$, then $F_j \subseteq \hat{h}_{\langle i,j,k \rangle} \subseteq h_i \cap c_k$.

Since M is total and $(c_j)_{j \in \mathbb{N}}$ is an indexing of \mathcal{C} and membership is uniformly decidable in \mathcal{H} , we know that $\hat{h}_{\langle i,j,k \rangle}$ is recursive. Hence, membership is uniformly decidable in $\hat{\mathcal{H}}$, too.

Next, we show that $\hat{\mathcal{H}}$ is a class comprising hypothesis space for \mathcal{C} . Let $c \in \mathcal{C}$ and let $k \in \mathbb{N}$ with $c_k = c$. Since M is set-driven IIM and learns c , there has to be a finite set $F \subseteq c$ such that, for all finite sets $V \subseteq c$, $M(F) = M(F \cup V) = i$, and $h_i = {}^a c$ (cf. Fulk [10]). Moreover, since M is consistent, $c \subseteq h_i$, and therefore $\hat{h}_{\langle i, \#(F), k \rangle} = h_i \cap c_k = c$.

Furthermore, $\hat{\mathcal{H}}$'s definition immediately implies:

Fact 1. Let $i, j, k \in \mathbb{N}$ and let V be some finite subset of \mathcal{X} . Then, we have: If $M(F_j) = i$, $F_j \cup V \subseteq c_k \cap h_i$, and $M(F_j \cup V) \neq i$, then $V \not\subseteq \hat{h}_{\langle i,j,k \rangle}$.

Fact 2. Let $i, j, k \in \mathbb{N}$ and let V be some finite subset of \mathcal{X} . Then, we have: If $M(F_j) = i$, $F_j \cup V \subseteq c_k \cap h_i$, and $M(F_j \cup V) \neq i$, then $\hat{h}_{\langle i,j,k \rangle}$ is finite.

Now, we are ready to define an IIM M' that c -*ConsvText* $_{\hat{\mathcal{H}}}$ -identifies \mathcal{C} . Let $c \in \mathcal{C}$, $t \in \text{Text}(c)$, and $y \in \mathbb{N}$.

IIM M' : “On input t_y do the following:

If $y = 0$, then compute $i = M(\text{content}(t_y))$, $j = \#(\text{content}(t_y))$, and the least k with $\text{content}(t_y) \subseteq c_k$. Output $\langle i, j, k \rangle$. Otherwise, goto (A).

(A) Let $M'(t_{y-1}) = \langle i, j, k \rangle$. Test whether or not $\text{content}(t_y) \subseteq \hat{h}_{\langle i,j,k \rangle}$. If it is, output $\langle i, j, k \rangle$. Otherwise, compute $i' = M(\text{content}(t_y))$, $j' = \#(\text{content}(t_y))$ and the least $k' > k$ with $\text{content}(t_y) \subseteq c_{k'}$. Output $\langle i', j', k' \rangle$.”

By definition, M' is consistent and it outputs a hypothesis in every step. Moreover, M' exclusively performs justified mind changes. Thus, M' is also conservative. Next, we show that M' learns c from text t . We distinguish two cases.

Case 1. c is finite.

Let y' be the least index such that $\text{content}(t_{y'}) = c$ and let $y \leq y'$ be the least index with $M'(t_y) = M'(t_{y'})$. Let $M'(t_y) = \langle i, j, k \rangle$. By definition, $i = M(\text{content}(t_y))$, $j = \#(\text{content}(t_y))$, and $\text{content}(t_y) \subseteq c_k$. Since $\text{content}(t_y) \subseteq \text{content}(t_{y'}) \subseteq \hat{h}_{\langle i,j,k \rangle} \subseteq h_i \cap c_k$, we obtain $M(\text{content}(t_y)) = M(\text{content}(t_{y'})) = i$ (cf. Fact 1). Since M is a set-driven and consistent IIM that learns c , we know that $h_i = {}^a c$ and $c \subseteq h_i$. Finally, since $\text{content}(t_{y'}) \subseteq \hat{h}_{\langle i,j,k \rangle}$ and since, by construction, $\hat{h}_{\langle i,j,k \rangle} \subseteq h_i$, we may conclude $\hat{h}_{\langle i,j,k \rangle} = {}^a c$, and thus we are done.

Case 2. c is infinite.

This part of the proof relies on the following claim.

Claim 1. For all $y, z \in \mathbb{N}$, if $M'(t_y) = z$ and $c \subseteq \hat{h}_z$, then $c =^a \hat{h}_z$.

Without loss of generality, let y be the least index with $M'(t_y) = z$. By definition, $z = \langle i, j, k \rangle$, where $i = M(\text{content}(t_y))$, $j = \#(\text{content}(t_y))$, and $\text{content}(t_y) \subseteq c_k$. Suppose to the contrary that $c \subseteq \hat{h}_z$ and $c \neq^a \hat{h}_z$. Note that, by construction, $c \subseteq \hat{h}_{\langle i, j, k \rangle} \subseteq h_i \cap c_k$, and therefore, $h_i \neq^a c$. Now, since M learns c , there are $r, i' \in \mathbb{N}$ such that $M(\text{content}(t_{y+r})) = i'$ and $h_{i'} =^a c$. Clearly, $i' \neq i$. Finally, because of $\text{content}(t_{y+r}) \subseteq c \subseteq c_k \cap h_i$, $\hat{h}_{\langle i, j, k \rangle}$ must be finite (cf. Fact 2). Since c is infinite, $\hat{h}_{\langle i, j, k \rangle}$ cannot constitute a proper superset of c , a contradiction, and the claim follows.

It remains to show that there are indices y, z such that $M'(t_y) = z$, $\hat{h}_z =^a c$, and $c \subseteq \hat{h}_z$. Since M' is conservative, this will suffice.

Since M is set-driven and learns c , there has to be a finite set $F \subseteq c$ such that, for all finite sets $V \subseteq c$, $M(F) = M(F \cup V) = i$, and $h_i =^a c$ (cf. Fulk [10]). Moreover, since M is consistent, it holds $c \subseteq h_i$.

Next, let y be the least index such that $F \subseteq \text{content}(t_y)$ and let $\langle i_y, j_y, k_y \rangle = M'(t_y)$. Obviously, if $c \subseteq \hat{h}_{\langle i_y, j_y, k_y \rangle}$, then, by Claim 1, we are immediately done. Otherwise, by Claim 1, we may assume that $c \setminus \hat{h}_{\langle i_y, j_y, k_y \rangle} \neq \emptyset$. Hence, there is a least $y' > y$ such that $\text{content}(t_{y'}) \not\subseteq \hat{h}_{\langle i_y, j_y, k_y \rangle}$ and thus M' performs a mind change, i.e., it computes $\langle i_{y'}, j_{y'}, k_{y'} \rangle = M'(t_{y'})$.

Now, by the choice of y , we know that $i_{y'} = i$. Moreover, $j_{y'} = \#(\text{content}(t_{y'}))$ and, even more important, $k_{y'}$ is the least index such that $k_{y'} > k_y$ and $\text{content}(t_{y'}) \subseteq c_{k_{y'}}$. Now, recall that, by the choice of the indexing $(c_j)_{j \in \mathbb{N}}$, there is a least index $\hat{k} > k_y$ such that $c_{\hat{k}} = c$. Hence, we may conclude that $k_y < k_{y'} \leq \hat{k}$. As above, there are two cases to distinguish. First, if $c \subseteq \hat{h}_{\langle i_{y'}, j_{y'}, k_{y'} \rangle}$, then, again by Claim 1, we are directly done. Second, if $c \not\subseteq \hat{h}_{\langle i_{y'}, j_{y'}, k_{y'} \rangle}$, there is a least $y'' \in \mathbb{N}$ such that $\text{content}(t_{y''}) \not\subseteq \hat{h}_{\langle i_{y'}, j_{y'}, k_{y'} \rangle}$. Again, by definition, M' changes its mind to $\langle i, \#(\text{content}(t_{y''})), k_{y''} \rangle$, where $k_{y''}$ is the least index with $k_{y'} < k_{y''} \leq \hat{k}$ and $\text{content}(t_{y''}) \subseteq c_{k_{y''}}$.

Finally, by simply iterating this argumentation and by taking into consideration that, for all finite sets V with $\text{content}(t_y) \subseteq V \subseteq c$, $\hat{h}_{\langle i, \#(V), \hat{k} \rangle} = c$, one directly sees that M' eventually outputs a hypothesis z with $c \subseteq \hat{h}_z$ and $\hat{h}_z =^a c$, and thus, we are done. \square

Theorem 6. $\text{Lim}^* \text{Txt} \subseteq \text{Sdr}^* \text{Txt}$.

Proof. Let $\mathcal{C} \in \text{Lim}^* \text{Txt}$. By Proposition 2, there is an indexing $(c_j)_{j \in \mathbb{N}}$ of \mathcal{C} and a recursively enumerable family $(T_j)_{j \in \mathbb{N}}$ of finite set such that, for all $j, k \in \mathbb{N}$, (1) and (2) are fulfilled, where

$$(1) \quad T_j \subseteq c_j.$$

$$(2) \quad \text{If } T_j \subseteq c_k \subseteq c_j, \text{ then } c_k =^* c_j.$$

For all $j, y \in \mathbb{N}$, we let $T_j^{(y)}$ denote the finite subset of T_j that is enumerated within y steps. Note that, for all $j, y, y' \in \mathbb{N}$, it is decidable whether or not $T_j^{(y)} = T_j^{(y')}$. For technical reasons, it is convenient to assume that, for all $j \in \mathbb{N}$, $T_j \neq \emptyset$ and $T_j^{(0)} = \emptyset$. Clearly, this assumption is justified, since \mathcal{C} exclusively contains non-empty concepts.

Before we define a set-driven IIM M' that learns \mathcal{C} , we define a consistent IIM M that $\text{Lim}^* \text{Txt}_{\mathcal{H}}$ -identifies \mathcal{C} , where $\mathcal{H} = (c_j)_{j \in \mathbb{N}}$. The required set-driven IIM M' will use M as its subroutine.

Let $c \in \mathcal{C}$, let $t \in \text{Text}(c)$, and let $y \in \mathbb{N}$.

IIM M : “On input t_y proceed as follows: For all $j \leq y$, test whether or not $T_j^{(y)} \subseteq \text{content}(t_y) \subseteq c_j$. If there is a j passing this test, then output the minimal one. Otherwise, determine the minimal j with $\text{content}(t_y) \subseteq c_j$ and output j .”

The verification that M behaves as required is straightforward.

We continue in defining a hypothesis space $\mathcal{H}' = (h'_{\langle j, k \rangle})_{j, k \in \mathbb{N}}$ and a set-driven IIM M' that $\text{Lim}^* \text{Txt}_{\mathcal{H}'}$ -identifies \mathcal{C} . Let $(w_j)_{j \in \mathbb{N}}$ be an effective enumeration of all elements in \mathcal{X} . Let $j, k \in \mathbb{N}$. For all $z \in \mathbb{N}$, we let $w_z \in h'_{\langle j, k \rangle}$ iff one of the conditions (i) and (ii) is fulfilled, where

- (i) $z \leq k$ and $w_z \in c_j$.
- (ii) $z > k$, $w_z \in c_j$, and $T_j^{(z)} = T_j^{(k)}$.

Now, one easily verifies that membership is uniformly decidable in \mathcal{H}' . Moreover, since $(c_j)_{j \in \mathbb{N}}$ is an indexing of \mathcal{C} and since all the sets T_j are finite, we may immediately conclude:

Fact 1. For all $j \in \mathbb{N}$ and all $k \in \mathbb{N}$, if $T_j^{(k)} = T_j$, then $h'_{\langle j, k \rangle} = c_j$.

Fact 2. For all $j, k \in \mathbb{N}$, if $T_j^{(k)} \neq T_j$, then $h'_{\langle j, k \rangle}$ is finite.

Now, we are ready to define M' . So, let $c \in \mathcal{C}$, let $t \in \text{Text}(c)$, and let $y \in \mathbb{N}$.

IIM M' : “On input t_y do the following:

Arrange the elements in $\text{content}(t_y)$ in lexicographical order without repetitions. Let $\sigma = x_0, \dots, x_r$ be the resulting sequence. Determine $j = M(\sigma)$. Test whether or not $T_j^{(r)} \subseteq \text{content}(\sigma)$. In case it is, goto (A). Otherwise, goto (B).

(A) Determine $m = \min\{n \mid T_j^{(n)} = T_j^{(r)}\}$ and output $\langle j, m \rangle$.

(B) Output $\langle j, 0 \rangle$.”

By definition, M' is set-driven. We have to show that M' learns as required. For that purpose, we distinguish two cases.

Case 1. c is infinite.

Recall that M , when fed the lexicographically ordered text for c , converges to a hypothesis j with $c_j =^* c$. Due to M 's definition we have $T_j \subseteq c$. Thus, M' converges to $\langle j, m \rangle$, where $m = \min\{n \mid T_j^{(n)} = T_j\}$. Hence, by Fact 1, $h'_{\langle j, m \rangle} = c_j$, and we are done.

Case 2. c is finite.

Let σ be the finite sequence obtained by arranging the elements of c in lexicographical order without repetitions. By definition, M' converges on t to $\langle j, m \rangle = M'(\sigma)$. We claim that $h'_{\langle j, m \rangle} =^* c$. First, assume that the hypothesis $\langle j, m \rangle$ was build in accordance with (B). Hence, $m = 0$. Since, by assumption, $T_j^{(0)} \neq T_j$, we obtain, via Fact 2, that $h'_{\langle j, m \rangle}$ is finite. Hence, $h'_{\langle j, m \rangle} =^* c$. Second, suppose the hypothesis $\langle j, m \rangle$ was build due to (A). Now, if $T_j^{(m)} \neq T_j$, the same arguments yield $h'_{\langle j, m \rangle} =^* c$. Finally, consider the case that $T_j^{(m)} = T_j$. Since $M(\sigma) = j$, we get $T_j \subseteq \text{content}(\sigma) = c$. Moreover, since M is consistent, we know that $c = \text{content}(\sigma) \subseteq c_j$. Hence, by Property (2) of the recursively enumerable family $(T_j)_{j \in \mathbb{N}}$, we may conclude that $c_j =^* c$. \square

Theorem 7. $c\text{-Consv}^* \text{Txt} = \text{Consv}^* \text{Txt}$.

Proof. The theorem is a direct consequence of Theorem 5 and 6 above.

As a closer look at the demonstration of Theorem 6 shows, every unconstrained IIM M can be replaced by an IIM M' that is simultaneously set-driven and consistent and that is at least as powerful as M . Moreover, Theorem 4 and Theorems 5 and 6 allow for the following corollary.

Corollary 8. $\text{Sdr}^* \text{Txt} = \text{Lim}^* \text{Txt}$.

Note that Corollary 8 contrasts the fact that set-drivenness is a severe restriction in case that anomalies are inadmissible (cf. Lange and Zeugmann [16]).

However, there are some differences between conservative inference and set-driven learning, on the one hand, and learning in the limit, on the other hand, which we want to point out next. While learning in the limit is invariant to the choice of the hypothesis space (cf. Tabe and Zeugmann [18]), conservative inference and set-driven learning are not. Moreover, in order to design most powerful learners that are conservative and set-driven, respectively, it is sometimes inevitable to select a hypothesis space that contains concepts which are not subject to learning.

Theorem 9.

- (1) *There is an indexable class $\mathcal{C} \in \text{Consv}^* \text{Txt}$ such that, for all class preserving hypothesis spaces \mathcal{H} for \mathcal{C} , there is no IIM M that $\text{Consv}^* \text{Txt}_{\mathcal{H}}$ -learns \mathcal{C} .*
- (2) *There is an indexable class $\mathcal{C} \in \text{Sdr}^* \text{Txt}$ such that, for all class preserving hypothesis spaces \mathcal{H} for \mathcal{C} , there is no IIM M that $\text{Sdr}^* \text{Txt}_{\mathcal{H}}$ -learns \mathcal{C} .*

Proof. We present a class $\mathcal{C} \in \mathcal{IC}$ that simultaneously witnesses (1) and (2). For this purpose, let $(M_j)_{j \in \mathbb{N}}$ be an effective enumeration of all IIMs. Without loss of

generality we may assume that each M_j is total, i.e., M_j , when fed any finite sequence of elements from \mathcal{X} , outputs a number. Moreover, let $\mathcal{X} = \{b, d\}^*$.

The underlying idea is as follows: Given any $j \in \mathbb{N}$, we define a particular indexable class \mathcal{C}_j such that M_j either does not witness $\mathcal{C}_j \in \text{Lim}^* \text{Txt}$ or M_j is not conservative (set-driven) provided it uses any class preserving hypothesis space for $\mathcal{C} = \bigcup_{j \in \mathbb{N}} \mathcal{C}_j$. For showing that M_j violates the constraints a conservative (set-driven) IIM has to fulfill some *a priori* knowledge about the semantics of M_j 's hypotheses is required. In order to provide this knowledge we choose the following approach.

Let $(\varphi_j)_{j \in \mathbb{N}}$ be any acceptable programming system of all partial recursive predicates and let $(\Phi_j)_{j \in \mathbb{N}}$ be any fixed associated complexity measure (cf. Blum [6]). Let $(w_j)_{j \in \mathbb{N}}$ be the lexicographically ordered enumeration of all elements in the learning domain \mathcal{X} . For every $j \in \mathbb{N}$, let $c(\varphi_j) = \{w_m \mid m \in \mathbb{N}, \varphi_j(m) \downarrow, \varphi_j(m) = 1\}$. Then, we use $\mathcal{H} = (c(\varphi_j))_{j \in \mathbb{N}}$ as a universal hypothesis space, i.e., if any of the enumerated IIMs outputs a hypothesis, say k , then we interpret it to mean that the IIM is guessing the concept $c(\varphi_k)$. The following lemma guarantees that this approach is successful.

Lemma 1. *Let \mathcal{C}' be any indexable class over the learning domain \mathcal{X} , let $\mathcal{H}' = (h'_j)_{j \in \mathbb{N}}$ be a hypothesis space, and let M' be any total IIM that $\text{Lim}^* \text{Txt}_{\mathcal{H}'}$ -identifies \mathcal{C}' . Then, there exists an IIM M which $\text{Lim}^* \text{Txt}_{\mathcal{H}}$ -identifies \mathcal{C}' .*

For all $j, m \in \mathbb{N}$ we define $p_j(m) = 1$ iff $w_m \in h'_j$. Since membership is uniformly decidable in \mathcal{H}' , $(p_j)_{j \in \mathbb{N}}$ is an effective enumeration of recursive predicates. By the choice of $(\varphi_j)_{j \in \mathbb{N}}$, there exists a recursive compiler f such that, for all $j \in \mathbb{N}$, $p_j = \varphi_{f(j)}$. Given this compiler f , one can easily define an IIM M which $\text{Lim}^* \text{Txt}_{\mathcal{H}}$ -identifies \mathcal{C}' . So, let $c \in \mathcal{C}'$, $t \in \text{text}(c)$, and $y \in \mathbb{N}$.

IIM M : “On input t_y proceed as follows:

Determine $j = M'(t_y)$ and output $f(j)$.”

Obviously, M learns \mathcal{C}' as required. Note that our transformation guarantees any additional constraint met by M' is satisfied by M , too. In particular, if M' is conservative (set-driven), then M is also conservative (set-driven). Moreover, if \mathcal{H}' is a class preserving hypothesis space for \mathcal{C} , then M outputs exclusively indices for concepts belonging to \mathcal{C} . Thus, Lemma 1 is proved.

So, let $j \in \mathbb{N}$. As a rule, \mathcal{C}_j exclusively contains at most two different concepts c and c' , where c is an infinite concept and c' is a finite one. In order to answer the question how to define c and c' , the following procedure is used.

Subsequently, we use the following shorthand. For all $m, j \in \mathbb{N}$, we let $c(\varphi_j)_m^+ = \{w_n \mid n \leq m, \Phi_j(n) \leq m, \varphi_j(n) = 1\}$. Note that, by the properties of a complexity measure, the set $c(\varphi_j)_m^+$ is recursive in m and j .

Stage 0.

Set $c = \{b^j d^z \mid z \in \mathbb{N}\}$, $\sigma = \sigma' = b^j d^0$, and $w = b^j d$. Goto Stage 1.

Stage $k + 1$.

Set $\sigma' = \sigma' \diamond b^j d^{k+1}$. If $M_j(\sigma) \neq M_j(\sigma')$, goto (A). Otherwise, goto (B).

(A) Set $w = b^j d^{k+2}$, $\sigma = \sigma'$, and goto Stage $k + 2$.

(B) Let $z = M(\sigma)$. Test whether or not $w \in c(\varphi_z)_{k+1}^+$. If it is, set $c' = \text{content}(\sigma)$ and finish the definition of \mathcal{C}_j . Otherwise, goto Stage $k + 2$.

One easily sees that \mathcal{C}_j is an indexable class. We set $\mathcal{C} = \bigcup_{j \in \mathbb{N}} \mathcal{C}_j$ and claim that \mathcal{C} witnesses Assertions (1) and (2) above.

By Theorem 4 and Corollary 8, it suffices to show that $\mathcal{C} \in \text{Lim}^* \text{Txt}$. However, we even show that \mathcal{C} is *LimTxt*-identifiable. The desired IIM M works as follows. On input t_y , M determines the unique $j \in \mathbb{N}$ such that $t_y \in \text{Text}(\mathcal{C}_j)$. Now, M uses y steps of computation to simulate the procedure defined above in order to decide whether or not \mathcal{C}_j contains a finite concept c' . If y steps of computation do not suffice for making this decision, M guesses the infinite concept $c \in \mathcal{C}_j$. If M has verified that there is a finite concept $c' \in \mathcal{C}_j$, it tests whether or not $\text{content}(t_y) = c'$. In case it is, M guesses c' ; otherwise, M guesses c . Obviously, M learns as required, and thus we are done.

Next, we complete the proof of (1). Suppose that there are a class preserving hypothesis space \mathcal{H}' for \mathcal{C} and a conservative IIM M' that $\text{Lim}^* \text{Txt}_{\mathcal{H}'}$ -learns \mathcal{C} . Without loss of generality, we assume that M' is total. By Lemma 1, there is a conservative IIM M that $\text{Lim}^* \text{Txt}_{\mathcal{H}}$ -learns \mathcal{C} and that outputs exclusively indices for concepts belonging to \mathcal{C} . Now, let $j \in \mathbb{N}$ be fixed such that $M_j = M$. We claim that M cannot learn the concepts in \mathcal{C}_j as required.

Let $c = \{b^j d^z \mid z \in \mathbb{N}\}$ and let t^c be the canonical text for c . We distinguish two cases.

Case 1. The construction of \mathcal{C}_j does not terminate.

Clearly, in case that M , when fed t^c , changes its mind infinitely often, it cannot learn c . Hence, there is a least y such that, for all $y' \geq y$, $M(t_{y'}^c) = M(t_y^c)$. Let $z = M(t_y^c)$. Since the construction of \mathcal{C}_j does not terminate, we know that $b^j d^{y+1} \notin c(\varphi_z)$. Since, in addition, $c(\varphi_z) \in \mathcal{C}$, we may conclude that $c(\varphi_z) \neq^* c$, and therefore M fails to learn c from its canonical text.

Case 2. The construction of \mathcal{C}_j terminates.

Hence, \mathcal{C}_j contains a finite concept c' . Let $c' = \{b^j d^0, \dots, b^j d^y\}$. By construction, we know that, for $z = M(t_y^c)$, it has been verified that $b^j d^{y+1} \in c(\varphi_z)$. Moreover, since $c(\varphi_z) \in \mathcal{C}$, we obtain $c(\varphi_z) = c$. By definition, $c' \subseteq c$. Since M is conservative, it converges to z when fed the text $t' = t_y^c \diamond b^j d^0, b^j d^0, \dots$ for c' . But $c \neq^* c'$, and thus M cannot learn c' , a contradiction.

Finally, the same argumentation applies *mutatis mutandis* to complete the verification of (2). Only the following minor modification is necessary. In Case 2, one has to stress the argument that $c' = \text{content}(t_y^c)$ to show that M converges to z when fed

the text $t' = t_y^c \diamond b^j b^0, b^j d^0, \dots$ for c' provided that M is set-driven. We omit further details. \square

For anomaly-free learning, the analogue of Theorem 9 holds as well (cf. Lange and Zeugmann [15]).

Next, we study behaviorally correct identification. As we shall see, finite tell-tale sets form a conceptual basis that is also well-suited to characterize the collection of all Bc^*Txt -identifiable indexable classes. Now, the existence of the corresponding tell-tale sets is already sufficient.

Theorem 10. *For all $\mathcal{C} \in \mathcal{IC}$: $\mathcal{C} \in Bc^*Txt$ iff there is an indexing $(c_j)_{j \in \mathbb{N}}$ of \mathcal{C} and a family $(T_j)_{j \in \mathbb{N}}$ of finite sets such that*

- (1) for all $j \in \mathbb{N}$, $T_j \subseteq c_j$,
- (2) for all $j, k \in \mathbb{N}$, if $T_j \subseteq c_k \subseteq c_j$, then $c_k =^* c_j$.

Proof. Necessity. Let \mathcal{H} be a hypothesis space and let M be an IIM that $Bc^*Txt_{\mathcal{H}}$ -identifies \mathcal{C} . Moreover, let $(c_j)_{j \in \mathbb{N}}$ be an indexing of \mathcal{C} . Let $j \in \mathbb{N}$. Since M $Bc^*Txt_{\mathcal{H}}$ -identifies c_j , there is some finite sequence $\sigma \in SegText(c_j)$ such that, for all finite sequences $\tau \in SegText(c_j)$ and all $k \in \mathbb{N}$, if $k = M(\sigma \diamond \tau)$, then $h_k =^* c_j$. We claim that $T_j = content(\sigma)$ will do. Suppose to the contrary that there is a $k \in \mathbb{N}$ such that $T_j \subseteq c_k$, $c_k \subset c_j$, and $c_k \neq^* c_j$. Due to the choice of σ and since $c_k \subset c_j$, one directly sees that M fails to learn c_k on each of its texts having the initial segment σ , a contradiction.

Sufficiency. First, we define an appropriate hypothesis space $\mathcal{H} = (h_{\langle j, k \rangle})_{j, k \in \mathbb{N}}$. Let $(F_j)_{j \in \mathbb{N}}$ be an effective enumeration of all finite subsets of \mathcal{X} and let $(w_j)_{j \in \mathbb{N}}$ be the lexicographically ordered enumeration of all elements in \mathcal{X} . For the sake of readability, we subsequently use the following notions and notations.

First, for all $c \subseteq \mathcal{X}$ and all $z \in \mathbb{N}$, we let $c^z = \{w_r \mid r \leq z, w_r \in c\}$. Second, for all $j, k, z \in \mathbb{N}$, we let $S_{\langle j, k, z \rangle}$ be the set of all indices $r \leq k$ that meets (i) $F_j \subseteq c_r$ and (ii), for all $r' < r$ with $c_{r'} \supseteq F_j$, $c_r^z \subseteq c_{r'}^z$.

Now, we define the required hypothesis space \mathcal{H} . Let $j, k \in \mathbb{N}$. We define the characteristic function of $h_{\langle j, k \rangle}$ as follows. If $S_{\langle j, k, z \rangle} = \emptyset$, we set $h_{\langle j, k \rangle}(w_z) = -$. Otherwise, i.e., $S_{\langle j, k, z \rangle} \neq \emptyset$, we let $n = \max\{r \mid r \in S_{\langle j, k, z \rangle}\}$ and set $h_{\langle j, k \rangle}(w_z) = c_n(w_z)$.

Since membership is uniformly decidable in $(c_j)_{j \in \mathbb{N}}$, we know that \mathcal{H} is an admissible hypothesis space.

The desired IIM M is defined as follows. Let $c \in \mathcal{C}$, $t \in Text(c)$, and $y \in \mathbb{N}$.

IIM M' : “On input t_y proceed as follows:

Determine $j \in \mathbb{N}$ with $F_j = content(t_y)$ and output $\langle j, y \rangle$.”

We claim that M $Bc^*Txt_{\mathcal{H}}$ -identifies c .

Let $m = \min\{r \mid c_r = c\}$. Since $t \in Text(c)$, there is a least $y' \geq m$ such that, for all $k' < m$, $content(t_{y'}) \subseteq c_{k'}$ implies $c_{k'} \supseteq c_m$. By assumption, there is some finite tell-tale set T_m for $c = c_m$. Again, since $t \in Text(c)$, there is a least $y'' \geq y'$ such that $T_m \subseteq content(t_{y''})$. Now, fix any $y \geq y''$ and consider $\langle j, y \rangle = M(t_y)$. We claim that $h_{\langle j, y \rangle} =^* c$. This can be seen as follows.

Let $z' \in \mathbb{N}$. By the choice of y' , $m \in S_{(j, y, z')}$. Moreover, $S_{(j, y, z')}$ is finite and $S_{(j, y, z')} \supseteq S_{(j, y, z'+1)}$. Hence, there is some $n \geq m$ such that, for almost all z , $n = \max\{r \mid r \in S_{(j, y, z)}\}$. By definition of \mathcal{H} , we know that $h_{\langle j, y \rangle} =^* c_n$. Since, for all $z \in \mathbb{N}$, $m, n \in S_{(j, y, z)}$ and since $n \geq m$, we conclude $c_m \supseteq c_n$. By \mathcal{H} 's definition, we have $content(t_y) \subseteq c_n$, and thus, by the choice of y'' , $T_m \subseteq c_n$. Hence, Condition (2) guarantees that $c_n =^* c$, and therefore $h_{\langle j, y \rangle} =^* c$. \square

Note that Baliga *et al.* [3] have been shown recently that the same characterizing conditions as in Theorem 10 completely describe the collection of all indexable classes that are Bc^*Txt -learnable with respect to *arbitrary* hypothesis spaces². Hence, our result refines theirs by showing that, in order to Bc^*Txt -identify an indexable class, it is always possible to select a hypothesis space with uniformly decidable membership. However, as we see next, it is inevitable to select the actual hypothesis space appropriately.

Theorem 11. *There is an indexable class $\mathcal{C} \in Bc^*Txt$ such that, for all class preserving hypothesis spaces \mathcal{H} for \mathcal{C} , there is no IIM M that $Bc^*Txt_{\mathcal{H}}$ -learns \mathcal{C} .*

Proof. The required class $\mathcal{C} \in \mathcal{IC}$ is defined as follows. Let $(M_j)_{j \in \mathbb{N}}$ be an effective enumeration of all IIMs. Without loss of generality we assume that each M_j is total, i.e., M_j , when fed any finite sequence $\sigma \in SegText(\mathcal{X})$, outputs a number. Moreover, let $\mathcal{X} = \{b, d\}^*$.

The proof idea is as follows. For any $j \in \mathbb{N}$, we define a class $\mathcal{C}_j \in \mathcal{IC}$ such that M_j fails to Bc^*Txt -identify \mathcal{C}_j for every class preserving hypothesis space for $\mathcal{C} = \bigcup_{j \in \mathbb{N}} \mathcal{C}_j$. Some *a priori* knowledge about M_j 's hypotheses is necessary. For getting it, we use the same approach as in the proof of Theorem 9.

Fix an acceptable programming system $(\varphi_j)_{j \in \mathbb{N}}$ and an associated complexity measure $(\Phi_j)_{j \in \mathbb{N}}$. Let $(w_j)_{j \in \mathbb{N}}$ be the lexicographically ordered enumeration of all elements in \mathcal{X} , and let $c(\varphi_j) = \{w_m \mid m \in \mathbb{N}, \varphi_j(m) \downarrow, \varphi_j(m) = 1\}$ for all $j \in \mathbb{N}$. Then, we use $\mathcal{H} = (c(\varphi_j))_{j \in \mathbb{N}}$ as a universal hypothesis space, i.e., if any of the enumerated IIMs outputs a hypothesis, say k , then we interpret it to mean that the IIM is guessing the concept $c(\varphi_k)$.

For all $j \in \mathbb{N}$, the definition of \mathcal{C}_j is performed in stages. Furthermore, for all $m, j \in \mathbb{N}$, we set $c(\varphi_j)_m^+ = \{w_n \mid n \leq m, \Phi_j(n) \leq m, \varphi_j(n) = 1\}$ and $c(\varphi_j)_m^- = \{w_n \mid n \leq m, \Phi_j(n) \leq m, \varphi_j(n) = 0\}$. Again, by the properties of a complexity measure, the sets $c(\varphi_j)_m^+$ and $c(\varphi_j)_m^-$ are recursive in m and j .

²That means, hypothesis spaces that do not necessarily admit a decidable membership problem.

Stage 0.

Define c_0 by setting $c_0 = \{b^j d^z \mid z \in \mathbb{N}\}$. Furthermore, set $\sigma = b^j d^0$, set $\max = 0$ and goto Stage 1.

Stage $k + 1$.

Set $m = 0$ and execute Instruction (A).

(A) For all $y \leq m$, execute the test (α).

(α) Set $\sigma_y = \sigma \diamond b^j d^{\max+0}, \dots, b^j d^{\max+y}$ and determine $r_y = M_j(\sigma_y)$. Test whether or not $b^j d^{\max+1} \in c(\varphi_{r_y})_m^+$.

In case there is some y passing this test, fix the least one, say y^* , set $\max = \max + y^*$, and execute Instruction (B).

Otherwise, set $m = m + 1$ and execute Instruction (A) again.

(B) Start the definition of c_{k+1} and set $c_{k+1} = \{b^j d^z \mid z \leq \max\}$. Set $n = 0$ and execute Instruction (C).

(C) For all $\ell \leq n$, execute the following test (β).

(β) Set $\sigma'_\ell = \sigma_{y^*} \diamond \underbrace{b^j d^0, \dots, b^j d^0}_{\ell\text{-times}}$ and determine $r'_\ell = M_j(\sigma'_\ell)$. Test whether there is a $z \leq \max + n$ such that $b^j d^z \in c(\varphi_{r'_\ell})_{\max+n}^-$.

If no ℓ passes this test, set $n = n + 1$, and execute Instruction (C) again.

Otherwise, fix the least ℓ that passes this test. Complete the definition of c_{k+1} by setting $c_{k+1} = c_{k+1} \cup \{b^{(k,n)}\}$. Set $\sigma = \sigma'_\ell$, and goto Stage $k + 2$.

One easily sees that \mathcal{C}_j constitutes an indexable class. We let $\mathcal{C} = \bigcup_{j \in \mathbb{N}} \mathcal{C}_j$ and claim that \mathcal{C} possesses the above property.

By applying Theorem 10, one easily verifies that $\mathcal{C} \in Bc^*Txt$. To see this, let $j \in \mathbb{N}$. For all finite concepts $c_i \in \mathcal{C}_j$, we let $T_{c_i} = c_i$. We distinguish the following cases. First, assume that \mathcal{C}_j contains finitely many concepts, say c_0, \dots, c_k . For the infinite concept c_0 , we let $T_{c_0} = \{b^j d^m\}$, where $m = \max\{z \mid b^j d^z \in c_k\} + 1$. Second, consider the case that \mathcal{C}_j contains infinitely many concepts. Then, $T_{c_0} = \{b^j d^0\}$ obviously suffices.

Next, we show that, for all class preserving hypothesis spaces \mathcal{H}' , there is no IIM that $Bc^*Txt_{\mathcal{H}'}$ -learns \mathcal{C} . Suppose to the contrary that there are a class preserving hypothesis space $\mathcal{H}' = (h'_j)_{j \in \mathbb{N}}$ and an IIM M' that $Bc^*Txt_{\mathcal{H}'}$ -identifies \mathcal{C} . Without loss of generality we may assume that M' is total. Applying similar arguments as in the proof of Lemma 1, it can be shown that there is an IIM M that $Bc^*Txt_{\mathcal{H}'}$ -identifies \mathcal{C} and that outputs exclusively indices for concepts belonging \mathcal{C} . Now, let $j \in \mathbb{N}$ be fixed such that $M_j = M$. We claim that M cannot learn all concepts in \mathcal{C}_j .

Case 1. \mathcal{C}_j contains infinitely many concepts.

Note that σ tends to become a text for $c_0 \in \mathcal{C}_j$. Moreover, in every Stage k with $k \geq 1$, it has been verified that there exists some y_k such that, for $r_k = M(\sigma_{y_k})$,

$c(\varphi_{r_k}) \neq c_0$ (cf. Instruction (C)). Moreover, we know that $c(\varphi_{r_k}) \in \mathcal{C}$. The latter yields $c(\varphi_{r_k}) \neq^* c_0$. By construction, M , when fed σ , guesses infinitely often a concept that is not a finite variant of c_0 , and thus it fails to learn c_0 on σ , a contradiction.

Case 2. \mathcal{C}_j contains finitely many concepts.

First, consider the case that \mathcal{C}_j contains only the infinite concept c_0 . Hence, while executing Instruction (A) in Stage 1, a text t for c_0 is formed on which M almost always guesses a concept that is not a finite variant of c_0 . To see this, note that, for all but finitely many r which M outputs when fed t , it must be the case that $b^j d^1 \notin c(\varphi_r)$. Since $c(\varphi_r) \in \mathcal{C}$, we may conclude that $c(\varphi_r) \neq^* c_0$, and thus M cannot learn c_0 on t .

Second, let c_1, \dots, c_k be the finite concepts belonging to \mathcal{C}_j . Now, assume that Stage k does not terminate. Then, while executing Instruction (C) in Stage k , a text t for c_k is formed on which M almost always guesses a concept that is not a finite variant of c_k . To see this, note that, for all but finitely many r' which M outputs when fed t , $c_0 \subseteq c(\varphi_{r'})$ must be the case. Moreover, $c(\varphi_{r'}) \in \mathcal{C}$, and therefore $c(\varphi_{r'}) \neq^* c_k$. Hence, M fails to learn c_k on t , a contradiction.

Finally, consider the case that Stage $k + 1$ does not terminate. Hence, while executing Instruction (A) in Stage $k + 1$, a text t for c_0 is formed on which M almost always guesses a concept that is not a finite variant of c_0 . To see this, note that, for all but finitely many r which M outputs when fed t , it must be the case that $b^j d^{m+1} \notin c(\varphi_r)$, where $m = \max\{z \mid b^j d^z \in c_k\}$. Since \mathcal{H}' is class preserving hypothesis space, we have $c(\varphi_r) \in \mathcal{C}$. This again yields $c(\varphi_r) \neq^* c_0$, contradicting the assumption that M learns c_0 on every text for it. \square

In contrast, since $BcTxt = LimTxt$, it can easily be shown that $BcTxt$ is invariant to the choice of the hypothesis space (cf. Lange and Zeugmann [15], for the relevant details). To be complete, note that it is folklore that there are indexable classes which are not Bc^*Txt -identifiable.

Proposition 3. $Bc^*Txt \subset IC$.

3.2. The case of an a priori bounded number of anomalies

Next, we turn our attention to the case that the number of allowed anomalies is a priori bounded. For learning in the limit, the situation remains unchanged.

Proposition 4 (Tabe and Zeugmann [18]). *For all $\mathcal{C} \in IC$ and all $a \in \mathbb{N}$: $\mathcal{C} \in Lim^aTxt$ iff there is an indexing $(c_j)_{j \in \mathbb{N}}$ of \mathcal{C} and a recursively enumerable family $(T_j)_{j \in \mathbb{N}}$ of finite sets such that*

- (1) for all $j \in \mathbb{N}$, $T_j \subseteq c_j$,
- (2) for all $j, k \in \mathbb{N}$, if $T_j \subseteq c_k \subseteq c_j$, then $c_k =^a c_j$.

Surprisingly, the situation changes already, if finite inference is considered. In order to design powerful finite learners it is inevitable to use hypothesis spaces that contain concepts that are not subject to learning.

Theorem 12. *For all $a \in \mathbb{N}^+$ there is an indexable class $\mathcal{C}' \in \text{Fin}^a\text{Txt}$ such that, for all class preserving hypothesis spaces \mathcal{H} for \mathcal{C}' , there is no IIM M that $\text{Fin}^a\text{Txt}_{\mathcal{H}}$ -learns \mathcal{C}' .*

Proof. We consider the case of $a = 1$, only. The adaptation to the general case is obvious. For all $k \in \mathbb{N}$, we set $c_k = \{b\}^* \setminus \{b^k\}$. Let \mathcal{C} be the collection of all concepts c_k . On the one hand, one immediately sees that $\mathcal{C} \in \text{Fin}^1\text{Txt}$. On the other hand, since, for all distinctive concepts $c, c' \in \mathcal{C}$, $c \neq^1 c'$, it is not hard to verify that there is no IIM that Fin^1Txt -identifies \mathcal{C} and that outputs exclusively indices for concepts in \mathcal{C} . We omit the details. \square

As a kind of side-effect, one obtains the following characterization for finite inference with an *a priori* bounded number of anomalies.

Theorem 13. *For all $\mathcal{C} \in \mathcal{IC}$ and all $a \in \mathbb{N}$: $\mathcal{C} \in \text{Fin}^a\text{Txt}$ iff there is a hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ and a recursively generable family $(T_j)_{j \in \mathbb{N}}$ of finite sets such that:*

- (1) for all $j \in \mathbb{N}$, $T_j \subseteq h_j$,
- (2) for all $c \in \mathcal{C}$, there is a $j \in \mathbb{N}$ such that $T_j \subseteq c$,
- (3) for all $j \in \mathbb{N}$ and all $c \in \mathcal{C}$, if $T_j \subseteq c$, then $c =^a h_j$.

Proof. Necessity. Assume that a hypothesis space $\mathcal{H}' = (h'_j)_{j \in \mathbb{N}}$ and an IIM M that $\text{Fin}^a\text{Inf}_{\mathcal{H}'}$ -learns \mathcal{C} are given. Without loss of generality we may assume that M is a consistent IIM. Moreover, let $(c_j)_{j \in \mathbb{N}}$ be any indexing of \mathcal{C} .

We define the hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ and the family $(T_j)_{j \in \mathbb{N}}$ as follows: Let $j \in \mathbb{N}$ and let t be the canonical text of c_j . Since M finitely infers c_j , there exists a least $y \in \mathbb{N}$ such that $M(t_y) = m$ for some $m \in \mathbb{N}$. We set $h_j = h'_m$ and $T_j = \text{content}(t_y)$.

We have to show that $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ and $(T_j)_{j \in \mathbb{N}}$ fulfill the announced properties. By construction, (2) is trivially fulfilled. Since M is a consistent IIM, we obtain (1), as well. Next, we show (3). Suppose $j \in \mathbb{N}$ and $c \in \mathcal{C}$ such that $T_j \subseteq c$. By construction, there is an initial segment of c_j 's canonical text t^{c_j} , say $t_y^{c_j}$, such that $\text{content}(t_y^{c_j}) = T_j$ and $M(t_y^{c_j}) = m$. Moreover, since M finitely learns c_j , $h'_m =^a c_j$. Since $T_j \subseteq c$, $t_y^{c_j}$ is also an initial segment of some text t' for c . Taking into account that M finitely infers c when fed t' and that $M(t'_y) = m$, we obtain $h'_m =^a c$. Since $h_j = h'_m$, this gives us $h_j =^a c$.

Sufficiency. Again, let $a \in \mathbb{N}$. It suffices to prove that there is an IIM M that $\text{Fin}^a\text{Txt}_{\mathcal{H}}$ -identifies \mathcal{C} . So, let $c \in \mathcal{C}$, let $t \in \text{Text}(c)$, and let $y \in \mathbb{N}$.

IIM M : “On input t_y do the following:

If $y = 0$ or $M(t_{y-1}) = ?$, goto (A). Otherwise, output $j = M(t_{y-1})$.

- (A) For $j = 0, \dots, y$, generate T_j and test whether or not $T_j \subseteq \text{content}(t_y)$. In case there exists a j fulfilling the test, output the minimal one. Otherwise, output “?”.

One directly sees that M learns as required. \square

As we shall see next, when behaviorally correct learning, conservative inference, and set-driven learning are considered, the overall picture changes, if there is an *a priori* fixed bound on the number of allowed anomalies.

On the one hand, Case and Lynes’ [7] result that, for all $a \in \mathbb{N}$, $\text{Lim}^{2a}\text{Txt} \subseteq \text{Bc}^a\text{Txt}$ easily translates into our setting of learning indexable classes. Surprisingly, the opposite is also true, i.e., every IIM that Bc^aTxt -identifies a target indexable class can be simulated by a learner that $\text{Lim}^{2a}\text{Txt}$ -identifies the same class, as expressed by the following theorem.

Theorem 14. *For all $a \in \mathbb{N}$: $\text{Bc}^a\text{Txt} = \text{Lim}^{2a}\text{Txt}$.*

Proof. Let $a \in \mathbb{N}$. As mentioned above, $\text{Lim}^{2a}\text{Txt} \subseteq \text{Bc}^a\text{Txt}$ can be shown by using the ideas from Case and Lynes [7] (see also Jain *et al.* [12]).

Next, we verify that $\text{Bc}^a\text{Txt} \subseteq \text{Lim}^{2a}\text{Txt}$. Let $\mathcal{C} \in \text{Bc}^a\text{Txt}$, let \mathcal{H} be a hypothesis space, and let M be an IIM that $\text{Bc}^a\text{Txt}_{\mathcal{H}}$ -identifies \mathcal{C} . Without loss of generality assume that $\text{card}(\mathcal{C}) \geq 2$. Since membership is uniformly decidable in \mathcal{H} , the set $\{(j, k) \mid h_j \neq^{2a} h_k\}$ is recursively enumerable. Hence, by the choice of \mathcal{C} , there is a total recursive function $f : \mathbb{N} \rightarrow \mathbb{N}^2$ such that $\{f(n) \mid n \in \mathbb{N}\} = \{(j, k) \mid h_j \neq^{2a} h_k\}$.

The required IIM M' also uses the hypothesis space \mathcal{H} . Let $c \in \mathcal{C}$, $t \in \text{Text}(c)$, and $y \in \mathbb{N}$.

IIM M' : “On input t_y proceed as follows:

If $y = 0$, set $z = 0$, determine $j_0 = M(t_0)$, and output j_0 . Otherwise, goto (A).

- (A) Determine $j = M'(t_{y-1})$. For all $s = z, \dots, y$, determine $j_s = M(t_s)$, and test whether or not $(j, j_s) \in \{f(n) \mid n \leq y\}$. In case there is no j_s passing this test, then output j . Otherwise, set $z = y$ and output j_y .”

Since M $\text{Bc}^a\text{Txt}_{\mathcal{H}}$ -identifies c , there is has to be a least y such that, for all $y', y'' \geq y$, $h_{M(t_{y'})} =^a c$ and $h_{M(t_{y'})} =^{2a} h_{M(t_{y''})}$. Consequently, M' , when fed t , converges to a hypothesis j that meets $h_j =^{2a} c$. \square

Applying Proposition 4, we may conclude:

Corollary 15. *For all $\mathcal{C} \in \mathcal{IC}$ and all $a \in \mathbb{N}$: $\mathcal{C} \in \text{Bc}^a\text{Txt}$ iff there is an indexing $(c_j)_{j \in \mathbb{N}}$ of \mathcal{C} and a recursively enumerable family $(T_j)_{j \in \mathbb{N}}$ of finite sets such that*

- (1) for all $j \in \mathbb{N}$, $T_j \subseteq c_j$,
- (2) for all $j, k \in \mathbb{N}$, if $T_j \subseteq c_k \subseteq c_j$, then $c_k =^{2a} c_j$.

The latter corollary nicely contrasts the results in Baliga *et al.* [3]. When arbitrary hypothesis spaces are admissible (see above), there is no need to add any recursive component, i.e., the existence of the corresponding tell-tale sets is again sufficient.

Moreover, Theorem 14 can be used to show that Lim^*Txt is an upper bound for behaviorally correct inference with an *a priori* bounded number of anomalies.

Theorem 16. $\bigcup_{a \in \mathbb{N}} Lim^a Txt = \bigcup_{a \in \mathbb{N}} Bc^a Txt \subset Lim^* Txt.$

Proof. $\bigcup_{a \in \mathbb{N}} Lim^a Txt = \bigcup_{a \in \mathbb{N}} Bc^a Txt$ follows directly via Theorem 14. Moreover, by definition, $\bigcup_{a \in \mathbb{N}} Lim^a Txt \subseteq Lim^* Txt.$ Hence, it remains to provide an indexable class $\mathcal{C} \in Lim^* Txt$ such that, for all $a \in \mathbb{N}$, $\mathcal{C} \notin Lim^a Txt.$

We let \mathcal{C}_{cof} be the collection of all co-finite concepts c with $c \subseteq \{b\}^*.$ On the one hand, one easily sees that \mathcal{C}_{cof} is even $Fin^* Txt$ -identifiable. On the other hand, suppose that there is some $a \in \mathbb{N}$ such that $\mathcal{C}_{cof} \in Lim^a Txt.$ By Theorem 4, for $c = \{b\}^*.$ there must be a finite set $T_c \subseteq c$ such that, for all $c' \in \mathcal{C}_{cof}$, $T_c \subseteq c' \subseteq c$ implies $c' =^a c.$ Clearly, such a finite set cannot exist. \square

Next, we deal with conservative inference and set-driven learning.

Theorem 17. *For all $a \in \mathbb{N}$: $Lim^a Txt \subset Consv^{a+1} Txt \subset Lim^{a+1} Txt.$*

Proof. Let $a \in \mathbb{N}.$ The same idea as in the demonstration of Theorem 4 applies to show that $Lim^a Txt \subseteq Consv^{a+1} Txt.$ Next, $Consv^{a+1} Txt \setminus Lim^a Txt \neq \emptyset$ is a direct consequence of Theorem 14 and of Theorem 24 below. Furthermore, $Consv^{a+1} Txt \subseteq Lim^{a+1} Txt$ follows directly from the definitions.

It remains to separate $Lim^{a+1} Txt$ and $Consv^{a+1} Txt.$ For this purpose, let $(M_j)_{j \in \mathbb{N}}$ be an effective enumeration of all IIMs. Without loss of generality we may assume that each M_j is total, i.e., $M_j,$ when fed any finite sequence of elements from $\mathcal{X},$ outputs a hypothesis. Moreover, let $\mathcal{X} = \{b, d\}^*.$

Conceptually, the underlying idea is as follows: Given any $j \in \mathbb{N},$ we define a particular indexable class \mathcal{C}_j such that M_j either does not witness $\mathcal{C} \in Lim^{a+1} Txt$ or M_j is not conservative. Obviously, in order to show that M_j violates the constraints a conservative IIM has to fulfill some *a priori* knowledge about the semantics of M_j 's hypotheses is required. We provide this knowledge by using the same universal hypothesis space $\mathcal{H} = (c(\varphi_j))_{j \in \mathbb{N}}$ as in the demonstration of Theorems 9 and 11.

Let $(\sigma_k)_{k \in \mathbb{N}}$ be any effective enumeration of all finite sequences of elements from $\{b^j d^z \mid z \in \mathbb{N}\}.$ Moreover, for all $m, j \in \mathbb{N},$ the concepts $c(\varphi_j)_m^+$ and $c(\varphi_j)_m^-$ are defined analogously as in the proof of Theorem 11. Again, by the properties of a complexity measure, both sets are recursive in m and $j.$

So, let $j \in \mathbb{N}.$ As a rule, the required indexable class \mathcal{C}_j contains all infinite concepts $c \subseteq \{b^j d^z \mid z \in \mathbb{N}\}$ that meet $card(\{b^j d^z \mid z \in \mathbb{N}\} \setminus c) \leq a + 1.$ In addition, \mathcal{C}_j may contain a finite concept $c' \subseteq \{b^j d^z \mid z \in \mathbb{N}\}.$ In order to answer the question of whether or not a finite concept c' belongs to \mathcal{C}_j and of how to define $c',$ the following procedure is used.

Initially, we set $k = 0$ and $P_{-1} = \emptyset.$

Stage k .

Determine $z = M_j(\sigma_k)$ and goto (A).

(A) Determine the least $m \in \mathbb{N}$ such that (i) or (ii) is fulfilled, where

(i) $\text{content}(\sigma_k) \subseteq c(\varphi_z)_m^+$.

(ii) $\text{content}(\sigma_k) \cap c(\varphi_z)_m^- \neq \emptyset$.

If (i) happens, set $P_k = P_{k-1} \cup \{k\}$. If (ii) happens, set $P_k = P_{k-1}$. Execute Instruction (B).

(B) For all $r \in P_k$, execute (β).

(β) Determine $z_r = M(\sigma_r)$ and $S_r = \{b^j d^n \mid b^j d^n \in c(\varphi_{z_r})_k^+\}$. Test whether or not $\text{card}(S \setminus \text{content}(\sigma_r)) \geq a + 2$.

In case an r has been found, fix the least one, say r' , set $c' = \text{content}(\sigma_{r'})$, and finish the definition of c' . Otherwise, goto Stage $k + 1$.

After a bit of reflection, one sees that \mathcal{C}_j constitutes an indexable class. We let $\mathcal{C} = \bigcup_{j \in \mathbb{N}} \mathcal{C}_j$ and claim that \mathcal{C} separates $\text{Lim}^{a+1}\text{Txt}$ and $\text{Consv}^{a+1}\text{Txt}$.

Claim 1. $\mathcal{C} \notin \text{Consv}^{a+1}\text{Txt}$.

Suppose the contrary, i.e., there are a hypothesis space $\mathcal{H}' = (h'_j)_{j \in \mathbb{N}}$ and a total IIM M' that $\text{Consv}^{a+1}\text{Txt}_{\mathcal{H}'}$ -identifies \mathcal{C} . By an argumentation similar to the one proving Lemma 1, there is a total IIM M that $\text{Consv}^{a+1}\text{Txt}_{\mathcal{H}}$ -identifies \mathcal{C} . Let $j \in \mathbb{N}$ with $M_j = M$. We show that M fails to $\text{Consv}^{a+1}\text{Txt}_{\mathcal{H}}$ -identify \mathcal{C}_j .

First, we show that \mathcal{C}_j contains a finite concept. Suppose the converse. Since M exclusively outputs indices of recursive concepts, we know that every stage terminates. Let $c = \{b^j d^z \mid z \in \mathbb{N}\}$. Since M learns \mathcal{C}_j there has to be a finite sequence $\sigma_k \in \text{SegText}(c)$ such that, for $z = M(\sigma_k)$, $c(\varphi_z) = {}^a c$ as well as, for all finite sequences $\tau \in \text{SegText}(c)$, $j = M(\sigma_k \diamond \tau)$. Obviously, $c(\varphi_z) = {}^{a+1} c$ implies $\text{card}(c(\varphi_z) \setminus \text{content}(\sigma_k)) \geq a + 2$. Moreover, it can be shown that $\text{content}(\sigma_k) \subseteq c(\varphi_z)$, and therefore $k \in P_k$. To see this, suppose the contrary, i.e., $\text{content}(\sigma_k) \setminus c(\varphi_z) \neq \emptyset$. Let $x \in \text{content}(\sigma_k)$ such that $x \notin c(\varphi_z)$. Moreover, let $S \subseteq c$ such that $\text{card}(S) = a + 1$, $S \subseteq c(\varphi_z)$, and $S \cap \text{content}(\sigma_k) = \emptyset$. Since $c(\varphi_z) = {}^{a+1} c$, such set S must exist. Now, let $c' = c \setminus S$ and consider M when fed any text t for c' that begins with σ_k . Since $c' \subseteq c$ and by the properties of σ_k , M converges on t to z . Because of $S \subseteq c(\varphi_z) \setminus c'$ and $x \in c' \setminus c(\varphi_z)$, we obtain $c(\varphi_z) \neq {}^{a+1} c'$, and therefore M fails to learn $c' \in \mathcal{C}$, a contradiction. Finally, by construction, there is some $k' \geq k$ such that, for $S = \{b^j d^n \mid b^j d^n \in c(\varphi_{z_{k'}})_k^+\}$, it holds $\text{card}(S \setminus \text{content}(\sigma_k)) \geq a + 2$. Thus, in Stage k' at the latest, the finite concept c' is defined.

Second, we show that M fails to learn c' . Let c' be defined in Stage k'' . Let r be the least index in $P_{k''}$ such that, for $z_r = M(\sigma_r)$, it has been verified that $\text{card}(c(\varphi_{z_r}) \setminus \text{content}(\sigma_r)) \geq a + 2$. By construction, $c' = \text{content}(\sigma_r)$. Now, since M is a conservative IIM and since $\text{content}(\sigma_r) \subseteq c(\varphi_{z_r})$, M must converge to z_r when

fed any text t for c' that has the initial segment σ_r . Hence, M cannot learn c' , a contradiction, and Claim 1 follows.

Claim 2. $\mathcal{C} \in \text{Lim}^{a+1}\text{Txt}$.

The desired IIM M works as follows. On input t_y , M determines the unique $j \in \mathbb{N}$ such that $\text{content}(t_y) \subseteq \{b^j d^z \mid z \in \mathbb{N}\}$. Now, M uses y steps of computation to simulate the procedure defined above in order to decide whether \mathcal{C}_j contains a finite concept. If y steps of computation do not suffice to make this decision, M guesses $\{b^j d^z \mid z \in \mathbb{N}\}$. If it has been verified that there is a finite concept $c' \in \mathcal{C}_j$, M tests whether or not $\text{content}(t_y) = c'$. In case it is, M guesses c' ; otherwise, M guesses $\{b^j d^z \mid z \in \mathbb{N}\}$. Obviously, M learns as required, and thus we are done. \square

In contrast to Theorem 7, it is no longer possible to replace a conservative learner by an equally powerful IIM that is both conservative and consistent. To our knowledge, this is the first results that proves that consistency is a severe restriction when learning of indexable classes is considered.

Theorem 18. $\text{LimTxt} \setminus \bigcup_{a \in \mathbb{N}} c\text{-Consv}^a\text{Txt} \neq \emptyset$.

Proof. The required class $\mathcal{C}_{\text{consv}}$ is defined as follows. For all $k \in \mathbb{N}$, let $c_k = \{b^k d^z \mid z \in \mathbb{N}\}$. Moreover, for all $k \in \mathbb{N}$ with $\varphi_k(k) \downarrow$ and all $j \leq \Phi_k(k)$, let $c_{k,j} = \{b^k d^z \mid z \leq j\}$. Finally, let $\mathcal{C}_{\text{consv}}$ be the collection of all those concepts c_k and $c_{k,j}$.

It is well-known that $\mathcal{C}_{\text{consv}} \in \text{LimTxt}$ (cf. Lange and Zeugmann [15]). Let $a \in \mathbb{N}$. Since the halting problem is undecidable, $\mathcal{C}_{\text{consv}} \notin c\text{-Consv}^a\text{Txt}$ follows by contraposition of the following claim.

Claim. *If there is a consistent IIM that witnesses $\mathcal{C}_{\text{consv}} \in \text{Consv}^a\text{Txt}$, then one can effectively construct an algorithm deciding, for all $k \in \mathbb{N}$, whether or not $\varphi_k(k) \downarrow$.*

Suppose the contrary, i.e., there are a hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ and a consistent IIM M that $\text{Consv}^a\text{Txt}_{\mathcal{H}}$ -identifies $\mathcal{C}_{\text{consv}}$. We define an algorithm \mathcal{A} that solves the halting problem.

Algorithm \mathcal{A} : On input k execute (A) and (B):

- (A) For $z = 0, 1, \dots$, execute $(\alpha 1)$ until $(\alpha 2)$ happens.
 - $(\alpha 1)$ Set $t_z = b^k d^0, b^k d^1, \dots, b^k d^z$ and $S_z = \{b^k d^r \mid z + 1 \leq r \leq 2z\}$. Determine $j_z = M(t_z)$.
 - $(\alpha 2)$ $\text{card}(S_z \cap h_{j_z}) \geq a + 1$ is verified.
- (B) Test whether or not $\Phi_k(k) \leq z$. In case it is, output “ $\varphi_k(k) \downarrow$.” Otherwise, output “ $\varphi_k(k) \uparrow$.”

The verification of \mathcal{A} 's correctness is as follows. Let $k \in \mathbb{N}$. Since M learns c_k , there has to be some $y \in \mathbb{N}$ such that, for $j_y = M(t_y)$, it must be the case that $h_{j_y} =^a c_k$. Hence, $(\alpha 2)$ must happen, and thus algorithm \mathcal{A} terminates on input k .

Now, suppose to the contrary that $\varphi_k(k)$ is defined, but \mathcal{A} outputs “ $\varphi_k(k) \uparrow$.” Let z be fixed such that, for $j_z = M(t_z)$, $\text{card}(S_z \cap h_{j_z}) \geq a + 1$. By construction, $\Phi_k(k) > z$, and therefore $c_{k,z} = \{b^k d^r \mid r \leq z\}$ belongs to \mathcal{C} . Moreover, by construction, the consistent IIM M , when successively fed the text $t = b^k d^0, b^k d^1, \dots, b^k d^z \diamond b^k d^0, b^k d^0, \dots$ for $c_{k,z}$, has output a number j_z such that $c_{k,z} \subseteq h_{j_z}$ and $h_{j_z} \neq^a c_{k,z}$. Since M is conservative, it converges to j_z on t , and thus it fails to $\text{Consv}^a \text{Txt}_{\mathcal{H}}$ -identify $c_{k,z}$. \square

Hence, we may directly conclude:

Corollary 19. *For all $a \in \mathbb{N}^+$: $c\text{-Consv}^a \text{Txt} \subset \text{Consv}^a \text{Txt}$.*

Note that $c\text{-Consv} \text{Txt} = \text{Consv} \text{Txt}$ (cf., e.g., Lange and Zeugmann [20]).

In contrast, one immediately sees that set-driven learning fits in the usual pattern that consistency does not limit the learning capabilities when learning of indexable classes is concerned.

Proposition 5. *For all $a \in \mathbb{N}$: $c\text{-Sdr}^a \text{Txt} = \text{Sdr}^a \text{Txt}$.*

Comparing Corollary 19 and Proposition 5, one may readily expect that the learning power of conservative learners and set-driven IIMs does not coincide, if the final hypothesis is allowed to have an *a priori* bounded number of anomalies. This is indeed the case as our next theorem shows.

Theorem 20. $\text{Consv}^1 \text{Txt} \setminus \bigcup_{a \in \mathbb{N}} \text{Sdr}^a \text{Txt} \neq \emptyset$.

Proof. We claim that the indexable class $\mathcal{C}_{\text{consv}}$ (cf. the proof of Theorem 18) witnesses the stated separation. First, by Theorem 17, $\mathcal{C}_{\text{consv}} \in \text{Lim} \text{Txt}$ implies $\mathcal{C}_{\text{consv}} \in \text{Consv}^1 \text{Txt}$. Second, let $a \in \mathbb{N}$. Now, $\mathcal{C}_{\text{consv}} \notin \text{Sdr}^a \text{Txt}$ can easily be shown by reducing the halting problem to the learning problem on hand. It is not hard to see that the algorithm \mathcal{A} defined in the demonstration of Theorem 18 can be used to establish the announced reduction, too. \square

Combining these insights with the fact that $\text{Sdr}^a \text{Txt} \subseteq \text{Consv}^a \text{Txt}$ for all $a \in \mathbb{N}^+$ (cf. Theorem 5), one arrives at the following result.

Corollary 21. *For all $a \in \mathbb{N}^+$: $\text{Sdr}^a \text{Txt} \subset \text{Consv}^a \text{Txt}$.*

As we shall see, set-driven learners are exactly as powerful as learning machines that are both conservative and consistent. To prove this equivalence, we use the following characterization $c\text{-Consv}^a \text{Txt}$.

Theorem 22. *For all $\mathcal{C} \in \mathcal{IC}$ and all $a \in \mathbb{N}$: $\mathcal{C} \in c\text{-Consv}^a \text{Txt}$ iff there are a hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ and a recursively generable family $(T_j)_{j \in \mathbb{N}}$ of finite sets such that*

- (1) for all $j \in \mathbb{N}$, $T_j \subseteq h_j$,
- (2) for all $c \in \mathcal{C}$, there is a $j \in \mathbb{N}$ such that $T_j \subseteq c \subseteq h_j$,
- (3) for all $j \in \mathbb{N}$ and all $c \in \mathcal{C}$, if $T_j \subseteq c \subseteq h_j$, then $c =^a h_j$.

Proof. Necessity. Let $\mathcal{C} \in c\text{-Consv}^a\text{Txt}$. Consequently, there are a hypothesis space $\hat{\mathcal{H}} = (\hat{h}_j)_{j \in \mathbb{N}}$ and a consistent IIM M that $\text{Consv}^a\text{Txt}_{\hat{\mathcal{H}}}$ -identifies \mathcal{C} . Without loss of generality we may additionally assume that M is total. A hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ as well as a family of finite sets $(T_j)_{j \in \mathbb{N}}$ can be constructed as follows.

Let $(c_j)_{j \in \mathbb{N}}$ be any indexing of \mathcal{C} . For all $c \in \mathcal{C}$, we use t^c to denote the canonical text of c . Now, let $r, x \in \mathbb{N}$. Let $k = M(t_x^{c_r})$. Then, we set $h_{\langle r, x \rangle} = \hat{h}_k$ and $T_{\langle r, x \rangle} = \text{content}(t_x^{c_r})$.

Obviously, $(h_{\langle r, x \rangle})_{r, x \in \mathbb{N}}$ is an indexable family of recursive concepts. Furthermore, $(T_{\langle r, x \rangle})_{r, x \in \mathbb{N}}$ is recursively generable and all sets $T_{\langle r, x \rangle}$ are finite.

It remains to show that $\mathcal{H} = (h_{\langle r, x \rangle})_{r, x \in \mathbb{N}}$ and $(T_{\langle r, x \rangle})_{r, x \in \mathbb{N}}$ do fulfill the announced properties. By construction, (1) is obviously satisfied, since M is a consistent IIM.

For the purpose of proving (2), let $c \in \mathcal{C}$. We have to show that there is at least one index j such that $T_j \subseteq c \subseteq h_j$. Let $r \in \mathbb{N}$ be fixed such that $c_r = c$ and let t^c be c 's canonical text. Since M has to infer c from t^c , there have to be $k, x \in \mathbb{N}$ such that $\hat{h}_k =^a c$ and, for all $y \geq x$, $M(t_y^c) = k$. Since M is a consistent IIM, we know that $c \subseteq \hat{h}_k$. By definition, $h_{\langle r, x \rangle} = \hat{h}_k$. Hence, $T_{\langle r, x \rangle} = \text{content}(t_x^c) \subseteq c \subseteq h_{\langle r, x \rangle}$, and we are done.

Finally, we prove (3). Suppose to the contrary that there are $r, x \in \mathbb{N}$ and some $c \in \mathcal{C}$ such that $T_{\langle r, x \rangle} \subseteq c \subseteq h_{\langle r, x \rangle}$ and $h_{\langle r, x \rangle} \neq^a c$. Let $k = M(t_x^{c_r})$. Since $c \subseteq h_{\langle r, x \rangle} = \hat{h}_k$ and M learns in a conservative fashion, M converges to k when fed any text t for c having the initial segment $t_x^{c_r}$. However, because of $h_{\langle r, x \rangle} \neq^a c$, M cannot $\text{Consv}^a\text{Txt}_{\hat{\mathcal{H}}}$ -identify c on t , a contradiction.

Sufficiency. We define a consistent IIM M that $\text{Consv}^a\text{Txt}_{\mathcal{H}}$ -identifies \mathcal{C} . So, let $c \in \mathcal{C}$, let $t \in \text{Text}(c)$, and let $y \in \mathbb{N}$.

IIM M : “ On input t_y proceed as follows:

If $y = 0$ or $M(t_{y-1}) = ?$, then goto (B). Otherwise, goto (A).

(A) Let $j = M(t_{y-1})$. Test whether or not $\text{content}(t_y) \subseteq h_j$. In case it is, output j . Otherwise, goto (B).

(B) For $j = 0, \dots, y$, generate T_j and test whether or not $T_j \subseteq \text{content}(t_y)$ and $\text{content}(t_y) \subseteq h_j$. In case there exists a j fulfilling the test, output the minimal one. Otherwise, output “?”

Since all sets T_j are uniformly recursively generable and finite, we see that M is an IIM. By definition, M is a consistent IIM. Moreover, M changes its mind only in case it detects an inconsistency in (A). Hence, M learns in a conservative fashion provided it converges on t to a correct hypothesis.

Claim 1. M converges on t .

Let $k = \min\{z \mid T_z \subseteq c, c \subseteq h_z, h_z =^a c\}$. Consider T_0, \dots, T_k . Since $t \in \text{Text}(c)$, there must be an $y \geq k$ such that $T_k \subseteq \text{content}(t_y) \subseteq h_k$. That means, at least after

having fed t_y to M , the machine M outputs a number. Furthermore, since, for all $y' \geq y$, $T_k \subseteq \text{content}(t'_y) \subseteq h_k$, the IIM M never changes its mind to some $j > k$ when processing any initial segment $t_{y'}$. Finally, M changes its mind iff it receives some string that is misclassified by its current guess. Moreover, since M is consistent, any hypothesis once rejected is never repeated in some subsequent step. Since at least k can never be rejected, M has to converge.

Claim 2. If M converges, say to j , then $h_j =^a c$.

Suppose the converse, i.e., M converges on t to j and $h_j \neq^a c$.

Case 1. $c \setminus h_j \neq \emptyset$.

Thus, there is at least one element $x \in c \setminus h_j$ that has to appear eventually, i.e., $x \in \text{content}(t_y)$ for some y . Thus, $\text{content}(t_y) \not\subseteq h_j$, a contradiction.

Case 2. $h_j \setminus c \neq \emptyset$.

Then, we may restrict ourselves to the case $c \subset h_j$, since otherwise we are again in Case 1. Since, for all sufficiently large y , $T_j \subseteq \text{content}(t_y) \subseteq c$, we immediately obtain $T_j \subseteq c$. By Property (3), we may conclude $c =^a h_j$, a contradiction. \square

Theorem 23. For all $a \in \mathbb{N}$: $c\text{-Consv}^a \text{Txt} = \text{Sdr}^a \text{Txt}$.

Proof. Let $a \in \mathbb{N}$. $\text{Sdr}^a \text{Txt} \subseteq c\text{-Consv}^a \text{Txt}$ has already been verified in the demonstration of Theorem 5. It remains to show that $c\text{-Consv}^a \text{Txt} \subseteq \text{Sdr}^a \text{Txt}$.

Let $\mathcal{C} \in c\text{-Consv}^a \text{Txt}$. By Theorem 22, there are a hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ and a recursively generable family $(T_j)_{j \in \mathbb{N}}$ of finite sets such that

- (1) for all $j \in \mathbb{N}$, $T_j \subseteq h_j$,
- (2) for all $c \in \mathcal{C}$, there is a $j \in \mathbb{N}$ such that $T_j \subseteq c \subseteq h_j$,
- (3) for all $j \in \mathbb{N}$ and all $c \in \mathcal{C}$, if $T_j \subseteq c \subseteq h_j$, then $c =^a h_j$.

Furthermore, having a closer look at the demonstration of Theorem 22 one immediately sees that there is an indexing $(c_j)_{j \in \mathbb{N}}$ of \mathcal{C} and a total recursive function f such that, for all $j \in \mathbb{N}$, $T_{f(j)} \subseteq c_j$, $c_{f(j)} \subseteq h_j$ and $c_{f(j)} =^a h_j$. We first define a new recursively generable family $(T'_j)_{j \in \mathbb{N}}$ of finite sets. Afterwards, we use the family $(T'_j)_{j \in \mathbb{N}}$ to create a rearrangement-independent³ IIM M that $\text{Consv}^a \text{Txt}_{\mathcal{H}}$ -identifies \mathcal{C} . In a concluding step, we construct a set-driven learner M' which witnesses $\mathcal{C} \in \text{Lim}^a \text{Txt}$.

We define the new family of finite tell-tale sets as follows. For all $j \in \mathbb{N}$, we set $T'_j = \bigcup_{n \leq j} T_n \cap c_{f(j)}$. Obviously, $(T'_j)_{j \in \mathbb{N}}$ is also a recursively generable family of finite sets that fulfills Conditions (1) and (3). Moreover, by the properties of f , Condition (2) is satisfied as well.

The desired IIM M is defined as follows. Let $c \in \mathcal{C}$, let $t \in \text{Text}(c)$, and let $y \in \mathbb{N}$.

³An IIM M is said to be rearrangement independent for \mathcal{C} provided that, for all $t, t' \in \text{Text}(\mathcal{C})$ and all $y \in \mathbb{N}$, if $\text{content}(t_y) = \text{content}(t'_y)$, then $M(t_y) = M(t'_y)$.

IIM M : “On input t_y do the following:

For all $k \leq y$, generate T'_k and test whether or not $T'_k \subseteq \text{content}(t_y) \subseteq h_k$. In case there is a k fulfilling the test, output the minimal one. Otherwise, output “?”.

By definition, M is rearrangement-independent. Moreover, M is consistent.

Claim 1. M is conservative.

Let $k, y \in \mathbb{N}$ such that $M(t_y) = k$ and $M(t_{y+1}) \neq M(t_y)$. Now, if $M(t_{y+1}) = ?$, we directly obtain $\text{content}(t_{y+1}) \not\subseteq h_k$. Next, let $M(t_{y+1}) = j$ for some $j \in \mathbb{N}$. Again, it remains to show that $\text{content}(t_{y+1}) \not\subseteq h_k$.

First, let $k < j$. Then, by M 's definition, $\text{content}(t_{y+1}) \not\subseteq h_k$. Second, let $j < k$ and suppose that $\text{content}(t_{y+1}) \subseteq h_k$. By definition, M has verified that $T'_j \subseteq \text{content}(t_{y+1}) \subseteq h_j$. Moreover, since $j < k$, since $T'_j \subseteq \text{content}(t_{y+1})$, and since, by assumption, $\text{content}(t_{y+1}) \subseteq h_k$, we obtain $T'_j \subseteq T'_k$. Finally, by definition of M , $M(t_y) = k$ implies $T'_k \subseteq \text{content}(t_y)$, and thus $T'_j \subseteq \text{content}(t_y)$. Because of $j < k$, we may conclude that $M(t_y) = j$, contradicting $M(t_y) = k$, and thus Claim 1 is proved.

Claim 2. M identifies c from t .

Let $m = \min\{z \mid T'_z \subseteq c, c \subseteq h_z, h_z =^a c\}$. By Condition (3), we obtain that $c \setminus h_j \neq \emptyset$ for all $j < m$ provided $T'_j \subseteq c$. Consequently, every possible candidate hypothesis $j < m$ must sometimes be abandoned. Thus, M converges to m . This proves Claim 2.

To sum up, M is rearrangement-independent and *ConsvTxt \mathcal{H}* -identifies \mathcal{C} . We continue by defining a hypothesis space \mathcal{H}' and the required set-driven IIM M' such that M' *LimTxt \mathcal{H}'* -identifies \mathcal{C} .

Let $\mathcal{H}' = (h'_j)_{j \in \mathbb{N}}$ be the canonical enumeration of all concepts in \mathcal{C} and of all finite concepts over the underlying learning domain \mathcal{X} . Before defining M' , we introduce the notion of the *repetition free version* of a given text t , denoted by $\text{rfv}(t)$.

Let $t = (x_j)_{j \in \mathbb{N}}$ be any text. Initially, we set $\text{rfv}(t_0) = x_0$ and proceed inductively. For all $y \in \mathbb{N}$, we set $\text{rfv}(t_{y+1}) = \text{rfv}(t_y)$, if $x_{y+1} \in \text{content}(\text{rfv}(t_y))$. Otherwise, we set $\text{rfv}(t_{y+1}) = \text{rfv}(t_y) \diamond x_{y+1}$. Obviously, given any initial segment t_y of a text t , one can effectively compute $\text{rfv}(t_y)$.

Now, we are ready to define M' . So, let $c \in \mathcal{C}$, $t \in \text{Text}(c)$, and $y \in \mathbb{N}$.

IIM M' : “On input t_y do the following:

First, compute $\text{rfv}(t_y)$. If $M(\text{rfv}(t_y)) = ?$, then output the canonical index of $\text{content}(t_y)$ in \mathcal{H}' . Otherwise, fix $j = M(\text{rfv}(t_y))$ and output the canonical index of h_j in \mathcal{H}' .”

We show that M' learns as required.

Claim 3. M' is set-driven.

Let $t, t' \in \text{Text}(\mathcal{C})$ and let $x, y \in \mathbb{N}$ such that $\text{content}(t_x) = \text{content}(t'_y)$. By definition, $|\text{rfv}(t_x)| = |\text{rfv}(t'_y)|$. Therefore, $M(\text{rfv}(t_x)) = M(\text{rfv}(t'_y))$, since M is rearrangement-independent, and thus $M(t_x) = M(t'_y)$.

Claim 4. M' identifies c from t .

We distinguish two cases.

Case 1. c is finite.

Then there exists an $x \in \mathbb{N}$ such that $\text{content}(t_y) = c$. On the one hand, if $M(\text{rfv}(t_y)) = ?$, then, by definition, M' converges to the canonical index of the finite concept $\text{content}(t_y)$ in \mathcal{H}' . On the other hand, if $M(\text{rfv}(t_y)) = j$, then $c \subseteq h_j$, since M is consistent. Since M is conservative, it converges to j when fed any text for c that has the initial segment t_y . Hence, $h_j =^a c$, and thus M' behaves as required.

Case 2. c is infinite.

Since c is infinite, $\text{rfv}(t)$ constitutes a text for c . Moreover, M learns c from $\text{rfv}(t)$. Consequently, there have to be $y, k \in \mathbb{N}$ such that, for all $r \in \mathbb{N}$, $M(\text{rfv}(t)_{y+r}) = k$ and $h_k =^a c$. Hence, past point y , M' always outputs the canonical index of h_k in \mathcal{H}' , and thus M' infers c . Thus, Claim 4 is proved. \square

Finally, when learning with an *a priori* bounded number of allowed anomalies is considered, it can be shown that there is an infinite hierarchy of more and more powerful set-driven, conservative, limit, and behaviorally correct learners, respectively, parameterized in the number of allowed anomalies. The following theorem provides the missing piece to establish the existence of these infinite hierarchies.

Theorem 24. *For all $a \in \mathbb{N}$: $\text{Fin}^{2a+1}\text{Txt} \setminus \text{Bc}^a\text{Txt} \neq \emptyset$.*

Proof. Let $a \in \mathbb{N}$. We let \mathcal{C}_a be the collection of all infinite concepts $c \subseteq \{b\}^*$ that meet $\text{card}(\{b\}^* \setminus c) \leq 2a + 1$. On the one hand, one easily sees $\mathcal{C} \in \text{Fin}^{2a+1}\text{Txt}$. On the other hand, suppose that $\mathcal{C}_a \in \text{Bc}^a\text{Txt}$. Now, by Corollary 15, for $c = \{b\}^*$, there has to be a finite set $T_c \subseteq c$ such that, for all $c' \in \mathcal{C}_a$, $T_c \subseteq c' \subseteq c$ implies $c' =^{2a} c$. Obviously, such a finite set cannot exist, and thus we are done. \square

We conclude this section by providing, for all $a \in \mathbb{N}$, a characterization of the collection of all Consv^aTxt -identifiable classes.

Theorem 25. *Let \mathcal{C} be an indexable class and let $a \in \mathbb{N}$. Then, $\mathcal{C} \in \text{Consv}^a\text{Txt}$ iff there are a hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$, a computable relation \prec over \mathbb{N} , and a recursively generable family $(T_j)_{j \in \mathbb{N}}$ of finite sets such that*

- (1) *for all $c \in \mathcal{C}$, there is a j such that $T_j \subseteq c$, and $h_j =^a c$,*
- (2) *for all $c \in \mathcal{C}$, all $k \in \mathbb{N}$, and all finite sets $A \subseteq c$, if $T_k \subseteq c$ and $h_k \neq^a c$, then there is a j such that $k \prec j$, $A \subseteq T_j$, and $h_j =^a c$,*
- (3) *for all $c \in \mathcal{C}$, there is no infinite sequence $(k_r)_{r \in \mathbb{N}}$ such that, for all $r \in \mathbb{N}$, $k_r \prec k_{r+1}$ and $\bigcup_{r \in \mathbb{N}} T_{k_r} = c$,*
- (4) *for all $c \in \mathcal{C}$ and all $k, j \in \mathbb{N}$, if $k \prec j$ and $T_j \subseteq c$, then $T_j \setminus h_k \neq \emptyset$.*

Proof. Necessity. Let $\mathcal{C} \in \text{Consv}^a \text{Txt}$. Therefore, there are a hypothesis space $\hat{\mathcal{H}} = (\hat{h}_j)_{j \in \mathbb{N}}$ and an IIM M that $\text{Consv}^a \text{Txt}_{\hat{\mathcal{H}}}$ -identifies \mathcal{C} . Without loss of generality, we may assume that M is total. First, we construct a hypothesis space $\tilde{\mathcal{H}} = (\tilde{h}_j)_{j \in \mathbb{N}}$ and a recursively generable family $(\tilde{T}_j)_{j \in \mathbb{N}}$ of finite sets. Then, we describe a procedure enumerating a certain subset of $\tilde{\mathcal{H}}$ that forms the required hypothesis space \mathcal{H} . Finally, we define the required computable relation \prec .

Let $(\sigma_j)_{j \in \mathbb{N}}$ be an effective enumeration of all finite, non-null sequences of elements from the underlying learning domain \mathcal{X} such that, for all $m, n \in \mathbb{N}$, $\sigma_m \sqsubset \sigma_n$ implies $m < n$. Furthermore, for all $n, y \in \mathbb{N}$, we set $\tilde{h}_{\langle n, y \rangle} = \hat{h}_n$. The family $(\tilde{T}_{\langle n, y \rangle})_{n, y \in \mathbb{N}}$ is defined as follows. For all $n, y \in \mathbb{N}$, we set

$$\tilde{T}_{\langle n, y \rangle} = \begin{cases} \text{content}(\sigma_y), & \text{if } M(\sigma_y) = n, \\ \emptyset, & \text{otherwise.} \end{cases}$$

Clearly, $(\tilde{T}_{\langle n, y \rangle})_{n, y \in \mathbb{N}}$ is a uniformly recursively generable family of finite sets.

Claim. For all $c \in \mathcal{C}$, there are $n, y \in \mathbb{N}$ such that $\tilde{h}_{\langle n, y \rangle} =^a c$ and $\tilde{T}_{\langle n, y \rangle} \neq \emptyset$.

Let t^c be the canonical text of c . Since M learns c , there are $n, z \in \mathbb{N}$ such that $M(t_z^c) = n$ and $\hat{h}_n =^a c$. Let $y \in \mathbb{N}$ with $\sigma_y = t_z^c$. By construction, $\tilde{T}_{\langle n, y \rangle} \neq \emptyset$ as well as $\tilde{h}_{\langle n, y \rangle} =^a c$, and thus the claim follows.

We proceed with the definition of the desired hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ and the relation \prec . For this purpose, we define a recursive function f as follows. Set $f(0) = k$, where k is the least index with $\tilde{T}_k \neq \emptyset$. Note that, by the claim above, such an index k must exist. For all $j \geq 1$, set

$$f(j) = \begin{cases} j, & \text{if } \tilde{T}_j \neq \emptyset, \\ f(j-1), & \text{otherwise.} \end{cases}$$

Furthermore, for all $j \in \mathbb{N}$, we define $h_j = \tilde{h}_{f(j)}$ and $T_j = \tilde{T}_{f(j)}$. Finally, let $k, j \in \mathbb{N}$ and let $m, n, y, z \in \mathbb{N}$ be the uniquely determined numbers such that $f(k) = \langle m, y \rangle$ and $f(j) = \langle n, z \rangle$. Then, we let $k \prec j$ iff $m \neq n$ and $\sigma_y \sqsubset \sigma_z$.

Clearly, $(T_j)_{j \in \mathbb{N}}$ is a uniformly recursively generable family of finite sets and the relation \prec is computable. It remains to show that (1) to (4) are fulfilled. Obviously, (1) is a direct consequence of the claim above.

We next verify (2). Let $c \in \mathcal{C}$, let $A \subseteq c$ be any finite set, and let $k \in \mathbb{N}$ be any index such that $T_k \subseteq c$ and $h_k \neq^a c$. We have to show that there is an index j such that $k \prec j$, $A \subseteq T_j$, and $h_j =^a c$. Due to our construction, we have $T_k = \tilde{T}_{f(k)}$ and $h_k = \tilde{h}_{f(k)}$. Let $m, y \in \mathbb{N}$ be the uniquely determined numbers with $f(k) = \langle m, y \rangle$. Then, we know that $M(\sigma_y) = m$ and $c \neq^a \hat{h}_m$. Moreover, $T_k = \text{content}(\sigma_y) \subseteq c$. Hence, σ_y is an initial segment of a text for c . Now, let t^c be the canonical text of c . Since $A \subseteq c$, there exists a $b \in \mathbb{N}$ such that $A \subseteq \text{content}(t_b^c)$. Thus, there has to be an $r \in \mathbb{N}$ such that, for $n = M(\sigma_y \diamond t_{b+r}^c)$, the condition $\hat{h}_n =^a c$ is satisfied, since M has to learn c from every text for it. Furthermore, since $\sigma_y \diamond t_{b+r}^c$ is a finite sequence, there

exists an index z with $\sigma_z = \sigma_y \diamond t_{b+r}^c$. By construction, we get $\tilde{T}_{\langle n, z \rangle} = \text{content}(\sigma_z) \neq \emptyset$, $A \subseteq \tilde{T}_{\langle n, z \rangle}$, and $\tilde{h}_{\langle n, z \rangle} =^a c$. Thus, there is a number j such that $f(j) = \langle n, z \rangle$. Since $\sigma_y \sqsubset \sigma_z$ and $m \neq n$, we obtain $k \prec j$, and therefore (2) is proved.

We proceed with the demonstration of (3). Looking at the definition of the relation \prec , one sees that $k \prec j$ implies $T_k \subseteq T_j$. Suppose there is an infinite sequence $(k_r)_{r \in \mathbb{N}}$ such that $k_r \prec k_{r+1}$ and $\bigcup_{r \in \mathbb{N}} T_{k_r} = c$. Since $T_{k_r} \subseteq T_{k_{r+1}}$, in the limit we get a text t for c on which M changes its mind infinitely often, a contradiction. Hence, (3) is proved.

Finally, we show (4). Let $c \in \mathcal{C}$, and let $k, j \in \mathbb{N}$ such that $k \prec j$ and $T_j \subseteq c$. Furthermore, let $m, n, y, z \in \mathbb{N}$ be the uniquely determined numbers such that $f(k) = \langle m, y \rangle$ and $f(j) = \langle n, z \rangle$. By definition of the relation \prec , we get $\sigma_y \sqsubset \sigma_z$ as well as $m \neq n$. Moreover, by the definition of the tell-tale family, $M(\sigma_y) = m$ and $M(\sigma_z) = n$. Since $T_j = \text{content}(\sigma_z)$ and $T_j \subseteq c$, we see that σ_z is an initial segment of some text t for c on which M successively outputs m and n . Since M is conservative, we obtain $T_j \setminus \hat{h}_m \neq \emptyset$. Finally, by construction, we have $h_k = \tilde{h}_{\langle m, y \rangle} = \hat{h}_m$, and thus $T_j \setminus h_k \neq \emptyset$. Hence, (4) follows.

Sufficiency. It suffices to define an IIM M that $\text{Consv}^a \text{Txt}_{\mathcal{H}}$ -identifies \mathcal{C} . Let $c \in \mathcal{C}$, let $t \in \text{Text}(c)$, and let $y \in \mathbb{N}$.

IIM M : “On input t_y do the following:

If $y = 0$ or $y > 0$ and $M(t_{y-1}) = ?$, then goto (A). Otherwise, goto (B).

(A) Search for the least $k \leq y$ such that $T_k \subseteq \text{content}(t_y)$. In case it is found, set $y_k = y$ and output k . Otherwise, output “?”.

(B) Let $k = M(t_{y-1})$. Search for the least $j \leq y$ such that $k \prec j$ and $\text{content}(t_{y_k}) \subseteq T_j \subseteq \text{content}(t_y)$. In case such j is found, set $y_j = y$ and output j . Otherwise, output k .”

Since all sets T_j are uniformly recursively generable and finite, and since the relation \prec is computable, we directly obtain that M is an IIM. Moreover, Condition (1) guarantees that M outputs at least once a hypothesis. We proceed in showing that M $\text{Consv}^a \text{Txt}_{\mathcal{H}}$ -identifies c from t .

Claim 1. If M converges, say to k , then $h_k =^a c$.

Note that $T_k \subseteq c$, since otherwise k cannot be any of M 's guesses. Suppose $h_k \neq^a c$. By (2), there is an index j such that $k \prec j$, $\text{content}(t_{y_k}) \subseteq T_j$, and $h_j =^a c$. Hence, there is a $y \in \mathbb{N}$ with $\text{content}(t_{y_k}) \subseteq T_j \subseteq \text{content}(t_y)$. Thus, $M(t_y) \neq k$, contradicting the assumption that M converges to k .

Claim 2. M is conservative.

This is an immediate consequence of (4) and the definition of M .

Claim 3. M converges on t .

Observe that M outputs at least once a hypothesis, say k . As long as M does not find a j such that $k \prec j$ and $\text{content}(t_{y_k}) \subseteq T_j \subseteq \text{content}(t_x)$, this hypothesis is repeated. Hence, as long as M finds only finitely many j 's in (B), it converges. Consequently, if M does not converge, it finds an infinite sequence $(k_r)_{r \in \mathbb{N}}$ such that $k_r \prec k_{r+1}$ for all $r \in \mathbb{N}$. But every mind change implies an update of the value of the variable y_{k_r} . Thus, for all $z \in \mathbb{N}$, there exist $y_{k_r}, y \in \mathbb{N}$ with $\text{content}(t_z) \subseteq \text{content}(t_{y_{k_r}}) \subseteq T_{k_r} \subseteq \text{content}(t_y)$. Therefore, we immediately obtain $\bigcup_{r \in \mathbb{N}} T_{k_r} = c$, a contradiction to (3). This proves the claim, and hence the verification of the sufficiency part is completed. \square

Compared to the learning devices introduced in the other characterization theorems, the IIM defined in the proof of Theorem 25 uses a different technique to detect that its actual hypothesis may be incorrect. Clearly, no IIM can prove that its actual guess is really correct, unless it finitely learns. Hence, the machine has to collect evidence allowing it to decide whether or not it should prefer a new hypothesis instead of maintaining its actual one. The machine defined in the proof of Theorem 25 achieves this goal by using *a priori* knowledge concerning both the hypothesis space and the family of tell-tale sets. This *a priori* knowledge is provided by the computable relation \prec .

4. Learning from positive and negative data

In this section, we briefly summarize the results that can be obtained when learning with anomalies from both positive and negative examples is studied.

Let \mathcal{X} be the learning domain, let $c \subseteq \mathcal{X}$ be a concept, and let $i = ((x_n, b_n))_{n \in \mathbb{N}}$ be any infinite sequence of elements from $\mathcal{X} \times \{+, -\}$ such that $\text{content}(i) = \{x_n \mid n \in \mathbb{N}\} = \mathcal{X}$, $\text{content}^+(i) = \{x_n \mid n \in \mathbb{N}, b_n = +\} = c$, and $\text{content}^-(i) = \{x_n \mid n \in \mathbb{N}, b_n = -\} = \mathcal{X} \setminus c = \bar{c}$. Then, we refer to i as an informant. By $\text{Info}(c)$ we denote the set of all informants for c . Moreover, let $i = ((x_n, b_n))_{n \in \mathbb{N}}$ be an informant and y be a number. Then, i_y denotes the initial segment of i of length $y + 1$. By $\text{content}(i_y)$, $\text{content}^+(i_y)$, and $\text{content}^-(i_y)$ we denote the sets $\{x_j \mid j \leq y\}$, $\{x_j \mid j \leq y, b_j = +\}$, and $\{x_j \mid j \leq y, b_j = -\}$, respectively.

For all $a \in \mathbb{N} \cup \{*\}$, the learning models $\text{Fin}^a \text{Inf}$, $\text{Sdr}^a \text{Inf}$, $\text{Consv}^a \text{Inf}$, $\text{Lim}^a \text{Inf}$ and $\text{Bc}^a \text{Inf}$ are defined analogously as their text counterparts by replacing text by informant.

First, since, for all $\mathcal{C} \in \mathcal{IC}$, $\mathcal{C} \in \text{ConsvInf}$ (cf. Gold [11]), we may easily conclude:

Corollary 26.

For all $a \in \mathbb{N} \cup \{\}$: $\text{ConsvInf} = \text{Consv}^a \text{Inf} = \text{Sdr}^a \text{Inf} = \text{Lim}^a \text{Inf} = \text{Bc}^a \text{Inf}$.*

Next, we study finite learning with anomalies. As in the case of learning from positive data, there is a difference between finite learning with an *a priori* bounded number of allowed anomalies and finite learning with a bounded number of allowed

anomalies. While the latter is invariant to the choice of the hypothesis space, the former is not.

Theorem 27. *For all $\mathcal{C} \in \mathcal{IC}$: $\mathcal{C} \in \text{Fin}^*\text{Inf}$ iff there is an indexing $(c_j)_{j \in \mathbb{N}}$ of \mathcal{C} and a recursively generable family $(S_j)_{j \in \mathbb{N}}$ of finite sets such that*

- (1) *for all $j, k \in \mathbb{N}$, if $S_j \cap c_k = S_j \cap c_j$, then $c_k =^* c_j$.*

Proof. Necessity. Assume that a hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ and an IIM M that $\text{Fin}^*\text{Inf}_{\mathcal{H}}$ -learns \mathcal{C} are given. Moreover, let $(c_j)_{j \in \mathbb{N}}$ be any indexing of \mathcal{C} . The family $(S_j)_{j \in \mathbb{N}}$ is defined as follows.

Let $j \in \mathbb{N}$ and let i^{c_j} be the lexicographically ordered informant of c_j . Since M finitely infers c_j , there exists a least $y \in \mathbb{N}$ such that $M(i_y^{c_j}) = m$ for some $m \in \mathbb{N}$. We set $S_j = \text{content}(i_y^{c_j})$.

We have to show that $(S_j)_{j \in \mathbb{N}}$ fulfills Property (1). Suppose $j, k \in \mathbb{N}$ such that $S_j \cap c_k = S_j \cap c_j$. By construction, there is an initial segment of c_j 's lexicographically ordered informant i^{c_j} , say $i_y^{c_j}$, such that $\text{content}(i_y^{c_j}) = S_j$ and $M(i_y^{c_j}) = m$. Now, M finitely learns c_j , thus $h_m =^* c_j$. Since $S_j \cap c_k = S_j \cap c_j$, $i_y^{c_j}$ is also an initial segment of some informant i for c_k . But M finitely infers c_k when fed i and $M(i_y) = m$. Hence, we obtain $h_m =^* c_k$.

Sufficiency. We set $\mathcal{H} = (c_j)_{j \in \mathbb{N}}$ and prove that there is an IIM M that $\text{Fin}^*\text{Inf}_{\mathcal{H}}$ -identifies \mathcal{C} . So, let $c \in \mathcal{C}$, let $i \in \text{Info}(c)$, and let $y \in \mathbb{N}$.

IIM M : “On input i_y do the following:

If $y = 0$ or $M(i_{y-1}) = ?$, goto (A). Otherwise, output $j = M(i_{y-1})$.

- (A) For $j = 0, \dots, y$, generate S_j and test whether or not $S_j \cap c_j \subseteq \text{content}^+(i_y)$ and $(S_j \cap \bar{c}_j) \subseteq \text{content}^-(i_y)$. In case there is a j fulfilling the test, output the minimal one. Otherwise, output “?”.

One directly sees that M learns as required. \square

The next result provides some evidence that it is a bit more complicated to characterize Fin^aInf for any $a \in \mathbb{N}^+$.

Theorem 28. *Let $a \in \mathbb{N}^+$. There is an indexable class $\mathcal{C} \in \text{Fin}^a\text{Inf}$ such that, for all class preserving hypothesis spaces \mathcal{H} for \mathcal{C} , there is no IIM M that $\text{Fin}^a\text{Inf}_{\mathcal{H}}$ -learns \mathcal{C} .*

Proof. We discuss the case of $a = 1$, only. The adaptation for the case of larger a 's should be obvious.

For all $k \in \mathbb{N}$, we let $c_k = \{b^k d^j \mid j \in \mathbb{N}\}$. The required indexable class \mathcal{C} is defined as follows. For all $k \in \mathbb{N}$ with $\varphi_k(k) \uparrow$, \mathcal{C} contains the concept c_k , while, for all $k \in \mathbb{N}$ with $\varphi_k(k) \downarrow$, \mathcal{C} contains the concepts $c'_k = c_k \setminus \{b^k d^{\Phi_k(k)+1}\}$ and $c''_k = c_k \setminus \{b^k d^{\Phi_k(k)+2}\}$.

It is not hard to see that $\mathcal{C} \in \text{Fin}^1\text{Inf}$. Next, suppose, there are a class preserving hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ and an IIM M that $\text{Fin}^1\text{Inf}_{\mathcal{H}}$ -identifies \mathcal{C} . Then, the following algorithm \mathcal{A} , based on \mathcal{H} and M , solves the halting problem.

Algorithm \mathcal{A} : On input k proceed as follows:

For $z = 0, 1, \dots$, execute (α) until $(\beta 1)$ or $(\beta 2)$ happens.

(α) Test whether or not $\Phi_k(k) \leq z$. In case it is not, fix the initial segment $i_z^{c_k}$ of c_k 's lexicographically ordered informant i^{c_k} and determine $M(i_z^{c_k})$.

$(\beta 1)$ $\Phi_k(k) \leq z$ has been verified. Then, output " $\varphi_k(k) \downarrow$ " and stop.

$(\beta 2)$ $M(i_z^{c_k}) \neq ?$ has been verified. Then, output " $\varphi_k(k) \uparrow$ " and stop.

The verification of \mathcal{A} 's correctness is straightforward. \square

Analogously to Theorem 13, finite learning with *ana priori* bounded number of allowed anomalies can be characterized as follows.

Theorem 29. *For all $\mathcal{C} \in \mathcal{IC}$ and all $a \in \mathbb{N}$: $\mathcal{C} \in \text{Fin}^a \text{Inf}$ iff there are a hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ and a recursively generable family $(S_j)_{j \in \mathbb{N}}$ of finite sets such that:*

- (1) for all $c \in \mathcal{C}$, there is a $j \in \mathbb{N}$ such that $S_j \cap c = S_j \cap h_j$,
- (2) for all $j \in \mathbb{N}$ and all $c \in \mathcal{C}$, if $S_j \cap c = S_j \cap h_j$, then $c =^a h_j$.

Proof. The theorem can easily be proved by combining the ideas from the demonstration of Theorems 13 and 27. We omit the details. \square

Next, we show that the known inclusions $\text{FinTxt} \subset \text{FinInf} \subset \text{ConsvTxt}$ (cf. Lange and Zeugmann [14]) generalize as follows.

Theorem 30. *$\text{Fin}^a \text{Txt} \subset \text{Fin}^a \text{Inf} \subset \text{Consv}^a \text{Txt}$ for all $a \in \mathbb{N} \cup \{*\}$.*

Proof. By definition, $\text{Fin}^a \text{Txt} \subseteq \text{Fin}^a \text{Inf}$ for all $a \in \mathbb{N} \cup \{*\}$. Furthermore, let $\mathcal{C} = (\bigcup_{k \in \mathbb{N}^+} \{\{b^k\}\}) \cup \{\{b\}\}^*$. One easily verifies that $\mathcal{C} \in \text{FinInf} \setminus \text{Fin}^* \text{Txt}$.

Next, let $c = \{b\}^*$ and let $c_k = \{b^0, \dots, b^k, d^k\}$ for all $k \in \mathbb{N}$. Furthermore, let $\mathcal{C}_{\text{sep}} = (\bigcup_{k \in \mathbb{N}} \{c_k\}) \cup \{c\}$. It is not hard to see that $\mathcal{C}_{\text{sep}} \in \text{ConsvTxt}$. Moreover, one directly sees that there cannot be a finite set S for the concept c satisfying Property (1) of Theorem 27. Hence, we have $\mathcal{C}_{\text{sep}} \notin \text{Fin}^* \text{Inf}$.

Now, we verify $\text{Fin}^a \text{Inf} \subseteq \text{Consv}^a \text{Txt}$ for all $a \in \mathbb{N}$. Let $\mathcal{C} \in \text{Fin}^a \text{Inf}$. By Theorem 29, there is a hypothesis space $\mathcal{H} = (h_j)_{j \in \mathbb{N}}$ and a recursively generable family $(S_j)_{j \in \mathbb{N}}$ of finite sets such that:

- (1) for all $c \in \mathcal{C}$, there is a $j \in \mathbb{N}$ such that $S_j \cap c = S_j \cap h_j$,
- (2) for all $j \in \mathbb{N}$ and all $c \in \mathcal{C}$, if $S_j \cap c = S_j \cap h_j$, then $c =^a h_j$.

The required conservative IIM M also uses the hypothesis space \mathcal{H} and is defined as follows.

Let $c \in \mathcal{C}$, let $t \in \text{Text}(c)$, and let $y \in \mathbb{N}$.

IIM M : “On input t_y do the following:

If $y = 0$ or $M(t_{y-1}) = ?$, goto (A). Otherwise, set $j = M(t_{y-1})$ and test whether or not $S_j \cap \text{content}(t_y) \subseteq h_j$. In case it is, output j . Otherwise, goto (A).

(A) For $j = 0, \dots, y$, generate S_j and test whether or not $S_j \cap h_j \subseteq \text{content}(t_y)$ and $S_j \cap \text{content}(t_y) \subseteq h_j$. In case there exists a j fulfilling the test, output the minimal one. Otherwise, output “?”.

By definition, M performs exclusively justified mind changes, and thus it is conservative. It suffices to show that M learns as required.

Let $k = \min\{j \mid S_j \cap h_j = S_j \cap c\}$. Since M never outputs a hypothesis that has been rejected once, it is not hard to see that M must converge, say to k' . By construction, we know that $S_{k'} \cap h_{k'} \subseteq c$. Moreover, for almost all $y \in \mathbb{N}$, $S_j \cap \text{content}(t_y) \subseteq h_j$ is fulfilled. Combining it with $S_{k'} \cap c \subseteq h_{k'}$, we may conclude that $S_{k'} \cap h_{k'} = S_{k'} \cap c$. Hence, by Condition (2), we obtain $c =^a h_{k'}$.

Finally, $Fin^*Inf \subseteq Consv^*Txt$ can be shown by applying similar arguments as above. We omit the details. \square

Furthermore, it is not hard to verify that the results obtained so far prove the existence of an infinite hierarchy of more and more powerful finite learners parameterized in the number of allowed anomalies.

5. Conclusions

The present paper provided a systematic study of inductive inference of approximations for recursive concepts. These approximations have been allowed to describe a finite variant of the target concept as well as a variant that has at most an *a priori* bounded number of anomalies. We studied finite inference, set-driven identification, conservative inference, learning in the limit and behaviorally correct learning. Thus, our work completes to a larger extent the study of learning indexable classes with respect to their principal inferability.

Looking at results previously obtained in the field of inductive inference with anomalies, some of our results could have been expected. For example, the infinite hierarchies for finite learning, conservative inference, set-driven identification, learning in the limit and behaviorally correct inference in the number of allowed anomalies are not surprising. But there are a several results, at least we did not conjecture.

First, the equality $Sdr^*Txt = Consv^*Text = Lim^*Txt$ nicely contrasts the severe restriction caused by the requirement to learn conservatively in the anomaly-free case. Second, as far as we are aware of, within the setting of learning indexable classes till now consistency did not constitute a restriction to the learning power. However, as Theorem 18 shows, conservative inference with an *a priori* bounded number of allowed anomalies *cannot* always be achieved.

Finally, our characterization theorems complete the picture that has been obtained since Angluin's [2] pioneering paper. All learning models considered can be characterized by using finite tell-tale sets. Abstracting from technical details, if these sets are recursive, conservative learning is possible. For recursively enumerable tell-tale sets learning in the limit can be achieved. Furthermore, the pure existence of such tell-tale sets is sufficient to design behaviorally correct learners (see also [3]). Concerning the latter result, our main contribution here is the proof that Bc^*Txt -identification for indexable classes can *always* be achieved by using a hypothesis space with uniformly decidable membership.

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