# Complexity and Cryptography 

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Lecture 13: Public Key Cryptography


## Motivation I

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This classical key management requires the exchange of the secret key which may be well imaginable if the number of participants is small.

Imagine a bank with tens of thousands customers all over the word who like to access their accounts via their computers at home. It seems absolutely hopeless to exchange frequently secret keys with all customers.

## Motivation II

Most customers have a much larger range of applications than simply accessing their bank accounts over the internet, e.g.; shopping over the net using a credit card, frequently exchange of email with varying addressees, or using different computers over a net.

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Another important problem is authentication. The main problem addressed here is to ensure that a message received indeed originates from the source it pretends to have been sent out. In classical communication via letters, this problem has been solved by using hand written signatures (in the western hemisphere) or a "hanko," i.e., a personal seal (e.g., in China, Japan). Thus, we need something equivalent, i.e., an electronic signature.

## Motivation III

All those real and potential applications stimulated a huge amount of research during the last three decades.
The key observation to be made is that a key in classical two-way cryptosystems has actually two separate tasks, i.e., enciphering and deciphering.

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The key observation to be made is that a key in classical two-way cryptosystems has actually two separate tasks, i.e., enciphering and deciphering.
Diffie and Hellman (1976) proposed a new approach, i.e., public key cryptography.
They proposed to replace the secrete key by two keys. One key is used for encryption, and one key for decryption. The revolutionary idea, however, was to make the key for encryption publicly available. The key for decryption is kept secret by the receiver.

## The General Scheme of Public Key Cryptography I

The general scenario can be described as follows: Assume $\ell$ communicating parties $\mathrm{P}_{1}, \ldots, \mathrm{P}_{\ell}$. Each party $\mathrm{P}_{i}$ chooses and publishes its public key $k_{i}$, and keeps its secret key $\widetilde{k}_{i}$ private. Suppose, party $P_{i}$ wishes to send a message to party $P_{j}$. Then $P_{i}$ looks for $P_{j}$ 's public key in the list of all public keys. Next, $P_{i}$ enciphers its message using $k_{j}$ and sends it out. The receiver $P_{j}$ exploits her private key $\mathrm{k}_{\mathrm{j}}$ and deciphers the message received from $P_{i}$.

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The only problem is how to realize this nice idea.

## The General Scheme of Public Key Cryptography II

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## Requirements:

- Given the public key, it must be extremely hard to compute the private one.
- Computing the cipher must be easy, while deciphering has to remain extremely hard, too, without knowing the private key.

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These requirements directly lead to the idea of one-way functions.

## One-Way Functions

## Definition 1 (One-Way Functions)

Let $X, Y$ be non-empty sets. A one-way function $f$ is an injective function $f: X \rightarrow Y$ such that $f(x)$ can be computed in time polynomial in the length $|x|$ of $x$ for all $x \in X$ but there is no algorithm computing $f^{-1}(y)$ efficiently for any interesting fraction of arguments $y \in \operatorname{range}(f)$.

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Unfortunately, no one has yet proved the existence of one-way functions. Complexity theory is still not ready to handle this extremely difficult problem. Moreover, classical complexity theory mainly deals with worst-case complexity what is by no means ideal from the viewpoint of cryptology. The reasons for this are as follows:

## Points of Concern I

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(2) For all practical purposes it is sufficient to possess an efficient probabilistic algorithm computing $\mathrm{f}^{-1}$. That means, even if we would know that no deterministic algorithm computes $f^{-1}$ for almost all inputs in polynomial time, we cannot conclude the relevant cryptosystem to be secure.
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(3) Even worse, having a proof that no probabilistic algorithm computes $f^{-1}$ for almost all inputs in polynomial time is not sufficient to derive reasonable conclusions concerning the security of the relevant cryptosystem. Still, it may be possible to invert f for almost all inputs of practical length; e.g., given a proved tight lower bound of $n^{\log \log n}$, then for all inputs $y$ of length $|y| \leqslant 2^{2^{10}}$ the inversion of $f$ can be performed in time less than or equal to $|y|^{10}$.

## Points of Concern II

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There are, however some functions $f$ which are widely considered to be good candidates for one-way functions, e.g.; modular exponentiation (the inverse is computing the discrete logarithm), computing the product of prime numbers (the inverse is factoring a given number into its prime factors), and computing $M=\sum_{i=0}^{m} x_{i} a_{i}$, where $\left(a_{0}, \ldots, a_{m}\right) \in \mathbb{N}^{m+1}$ and $\left(x_{0}, \ldots, x_{m}\right) \in\{0,1\}^{m+1}$ (the inverse is the general subset-sum problem).

## The General Scheme of Public Key Cryptography III

Next we describe how to apply one-way functions to the solution of public key cryptography.
Clearly, we cannot directly apply one-way functions $f$ for enciphering messages. Additionally, we have to incorporate an idea how the receiver can circumvent the difficulty of inverting $f$. As outlined above, solely the receiver possesses the additional information provided by her secret key. This additional information should enable her to decrypt the ciphertext.

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The following definition formalizes this idea: Furthermore, we use $K_{p}$ and $K_{s}$ to denote the set of public keys and private (secret) keys, respectively.

## The General Scheme of Public Key Cryptography IV

## Definition 2 (Trap-Door Function)

A trap-door function $\mathrm{f}: \mathrm{Pt} \times \mathrm{K}_{\mathrm{p}} \rightarrow \mathrm{Ct}$ is a function satisfying the following requirements:
(i) $h_{k_{p}}=f\left(\cdot, k_{p}\right)$ is a one-way function for every $k_{p} \in K_{p}$;
(ii) there exists a polynomial $q$ such that the time to compute $h_{k_{p}}(x)$ is uniformly bounded by $q(|x|)$ for all $k_{p} \in K_{p}$;
(iii) there exist a one-way function $\mathrm{d}: \mathrm{K}_{s} \rightarrow \mathrm{~K}_{p}$ and a polynomial time computable function $\mathrm{g}: \mathrm{K}_{\mathrm{s}} \times \mathrm{Ct} \rightarrow \mathrm{Pt}$ such that $y=f\left(x, k_{p}\right)$ implies $x=g\left(d^{-1}\left(k_{p}\right), y\right)$ for all $x \in \mathrm{Pt}, \mathrm{k}_{\mathrm{p}} \in \mathrm{K}_{\mathrm{p}}$, and $\mathrm{y} \in \mathrm{Ct}$.

## The General Scheme of Public Key Cryptography V

The information $d^{-1}\left(k_{p}\right)$ constitutes the trap-door enabling the receiver to decipher the message obtained. Thus, the general scenario for public key cryptography outlined above can be realized as follows:

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Each party $P_{1}, \ldots, P_{\ell}$ is equipped with algorithms for computing f, g, and d. Furthermore, we assume $\left|\mathrm{K}_{s}\right| \geqslant \ell$. Now, party $P_{i}$ chooses its private key $\widetilde{k}_{i} \in K_{s}$ such that $\widetilde{k}_{i} \neq \widetilde{k}_{j}$ for $i \neq j$, where $i, j \in\{1, \ldots, \ell\}$. How to realize this requirement is discussed later. Then, she computes $k_{i}=d\left(\widetilde{k}_{i}\right)$ and publishes it.

## The General Scheme of Public Key Cryptography VI

The message exchange is performed using the following protocol: Suppose $P_{i}$ wishes to send a message $x$ to $P_{j}$.
(1) $P_{i}$ computes $y=f\left(x, k_{j}\right)$ using $P_{j}$ 's public key $k_{j}$, and sends $y$ over a public channel to $P_{j}$.
(2) $P_{j}$ receives $y$ and uses her private key $\widetilde{k}_{j}$ to compute $x=g\left(\widetilde{k}_{j}, y\right)$.

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We proceed by providing an example for a concrete public key cryptosystem.

Within the Merkle and Hellman's public key cryptosystem plaintext is encoded into bit-vectors of length $n$, i.e., $\mathrm{b}=\left(\mathrm{b}_{0}, \ldots, \mathrm{~b}_{\mathrm{n}-1}\right), \mathrm{b}_{\mathrm{i}} \in\{0,1\}$.

For example, we may encode the 26 letters of the Latin alphabet by using 00000 for $A, 00001$ for B, ... and 11001 for Z. Thus, each letter comprises 5 bits. For error detecting, it may be recommendable to add some check bits using, for example, a Hamming code. For keeping our examples small, we neglect the issue of error detecting here and use the bit strings given above.

## Merkle and Hellman's Public Key Cryptosystem II

The public key is a knapsack vector $a=\left(a_{0}, \ldots, a_{n-1}\right), a_{i} \in \mathbb{N}$. How to choose $a$ is described below. The enciphering $c$ of a plaintext $b$ is computed by

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\begin{equation*}
c=a b^{\top}=\sum_{j=0}^{n-1} a_{j} b_{j} \tag{1}
\end{equation*}
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( ${ }^{\circ} b^{\top}$ denotes the transpose of $b^{*}$ ).

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The result is a number $c$ between 0 and $\sum_{j=0}^{n-1} a_{j}$. This number $c$ is represented as a bit string of length $\ell=\left\lceil\log \left(1+\sum_{j=0}^{n-1} a_{j}\right)\right\rceil$ including leading zeros.

## Merkle and Hellman's Public Key Cryptosystem III

We describe the trap-door information and how to choose $a$. The trap-door is a pair $(w, m) \in \mathbb{N} \times \mathbb{N}$ which should be large and has to satisfy

$$
\begin{equation*}
w<m \text { and } \operatorname{gcd}(w, m)=1 \tag{2}
\end{equation*}
$$

Next, we choose $n$ values $\hat{a}=\left(\hat{a}_{0}, \ldots, \hat{a}_{n-1}\right)$ such that

$$
\begin{equation*}
m>\sum_{i=0}^{n-1} \hat{a}_{i} \quad \text { and } \quad \hat{a}_{j}>\sum_{i=0}^{j-1} \hat{a}_{i} \quad \text { for all } j=1, \ldots, n-1 . \tag{3}
\end{equation*}
$$

So, party $P$ chooses $w, m$, and â such that Conditions (2) and (3) are satisfied. Then $P$ computes $a=\left(a_{0}, \ldots, a_{n-1}\right)$, where
$a_{i}=\left(\hat{a}_{i} w\right) \bmod m$ for all $i=0, \ldots, n-1$. The vector $a$ is P's public key and the pair ( $w, m$ ) and the vector â is P's private key.
The public key is published, and the private key ( $w, m$ ) is kept secret.

## Merkle and Hellman's Public Key Cryptosystem IV

Let c be a ciphertext received. The deciphering is done using the following procedure dec:
(1) Compute $\hat{c}=\left(w^{-1} c\right) \bmod m$,
(2) Compute $\mathrm{b}=\left(\mathrm{b}_{0}, \ldots, \mathrm{~b}_{\mathrm{n}-1}\right)$ as follows:

$$
\begin{aligned}
& \text { If } \hat{c} \geqslant \hat{a}_{n-1} \text { then set } b_{n-1}=1 \text { else set } b_{n-1}=0 \\
& \text { For } j=n-2, n-3, \ldots, 0 \text {, if } \\
& \hat{c}-\sum_{i=j+1}^{n-1} \hat{a}_{i} b_{i} \geqslant \hat{a}_{j} \text { then set } b_{j}=1 \text { else set } b_{j}=0 .
\end{aligned}
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## Merkle and Hellman's Public Key Cryptosystem V

## Example 3

Party P chooses $n=5,(w, m)=(2550,8443)$, and
$\hat{a}=(171,196,457,1191,2410)$. So Conditions (2) and (3) are satisfied. The modular inverse of 2550 in $\mathbb{Z}_{8443}$ is 3950 . So P's public key is $a=(5457,1663,216,6013,7439)$. Let $x=L$; then $b=(0,1,0,1,1)$; thus $c=1663+6013+7439=15115$, and the message sent is c in binary, i.e., 11101100001011.
Suppose P has received c. So, P computes successively

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Suppose $P$ has received c. So, $P$ computes successively (1) $\hat{c}=\left(w^{-1} c\right) \equiv 3950 \cdot 15115 \equiv 3797 \bmod 8443$.

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(1) $\hat{c}=\left(w^{-1} c\right) \equiv 3950 \cdot 15115 \equiv 3797 \bmod 8443$.
(2) Since $3797>2410$ we set $b_{4}=1$.
(3) Next, we compute $3797-2410=1387>1191$. So, $b_{3}=1$.

Now, $3797-(2410+1191)=196<457$, so $b_{2}=0$.
Since $3797-(2410+1191)=196$ we set $b_{1}=1$.
Finally, $3797-(2410+1191-196)=0$, and thus $b_{0}=0$.

## Merkle and Hellman's Public Key Cryptosystem VI

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However, several subclasses of this problem are known for which it is easy to solve the decision problem. For example, if $a_{i}<a_{i+1}$ for all $i=0, \ldots, n-2$, then the knapsack problem can be solved by using at most $n$ subtractions as outlined in our deciphering procedure. Another subclass consists of all vectors $\left(a_{0}, \ldots, a_{n-1}\right) \in \mathbb{N}^{n}$ for which all $a_{i}$ are powers of 2 . In the latter case, one has simply to compute the binary representation of M . A more sophisticated subclass has been described in Lagarias and Odlyzko (1983).

## Merkle and Hellman's Public Key Cryptosystem VII

Thus, special care has to be taken. In particular, Merkle and Hellman (1978) hoped that the modular transformation of the trap-door knapsack â will result in almost all cases in a hard one. But A. Shamir (1982) proposed a polynomial time method for breaking the Merkle and Hellman (1978) public key cryptosystem.

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Since then, more sophisticated methods have been proposed to design public key cryptosystem that are based on the difficulty of the subset-sum problem. Since all proposed systems are not very satisfying we continue by looking at the most widely used public key cryptosystems that are based on the difficulty of number theoretic problems.

## The RSA Public Key Cryptosystem I

This system is based on the difficulty of factoring and/or taking discrete roots modulo a composite modulus. It has been invented by by R. Rivest, A. Shamir and L. Adleman in 1977. Let A (= Alice) be anybody wishing to participate at the RSA cryptosystem. Then, Alice has to do the following:

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(2) Compute $n_{A}=p_{A} q_{A}$ and calculate $\varphi\left(n_{A}\right)=$ $\varphi\left(p_{A}\right) \varphi\left(q_{A}\right)=\left(p_{A}-1\right)\left(q_{A}-1\right)=n_{A}-p_{A}-q_{A}+1$.

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(3) Choose randomly any number $e_{A} \in\left\{1, \ldots, \varphi\left(n_{A}\right)\right\}$ such that $\operatorname{gcd}\left(e_{A}, \varphi\left(n_{A}\right)\right)=1$.

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(3) Choose randomly any number $e_{A} \in\left\{1, \ldots, \varphi\left(n_{A}\right)\right\}$ such that $\operatorname{gcd}\left(e_{A}, \varphi\left(n_{A}\right)\right)=1$.
(4) Compute $d_{A}=e_{A}^{-1} \bmod \varphi\left(n_{A}\right)$. Publish $K_{A}=\left(n_{A}, e_{A}\right)$ and keep $p_{A}, q_{A}$, and $d_{A}$ secret.

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## Theorem 1

$$
w=c^{d_{A}} \bmod n_{A}
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## Proof

Proof. By assumption, $c=w^{e_{A}} \bmod n_{A}$. First, assume $\operatorname{gcd}\left(w, n_{A}\right)=1$; then applying the Theorem of Euler

$$
\begin{aligned}
& c^{d_{A}} \equiv\left(w^{e_{A}}\right)^{d_{A}} \equiv w^{e_{A} d_{A}} \equiv w^{\left(e_{A} d_{A}\right) \bmod \varphi\left(n_{A}\right)} \equiv w \bmod n_{A} \\
& \text { since } e_{A} d_{A} \equiv 1 \bmod \varphi\left(n_{A}\right)
\end{aligned}
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c^{\mathrm{d}_{\mathrm{A}}} \equiv\left(w^{e_{A}}\right)^{\mathrm{d}_{\mathrm{A}}} \equiv w^{e_{\mathrm{A}} \mathrm{~d}_{\mathrm{A}}} \equiv w^{\left(e_{\mathrm{A}} \mathrm{~d}_{\mathrm{A}}\right) \bmod \varphi\left(n_{A}\right)} \equiv w \bmod n_{A}
$$ since $e_{A} d_{A} \equiv 1 \bmod \varphi\left(n_{A}\right)$.

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## Proof

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What can be said if $\operatorname{gcd}\left(w, n_{A}\right) \neq 1$ ?
Suppose that exactly one of the two primes $p_{A}$ and $q_{A}$ does divide $w$, say $p_{A}$. The Little Theorem of Fermat is telling us

$$
w^{q_{A}-1} \equiv 1 \bmod q_{A}
$$

Since $\varphi\left(n_{A}\right)=\left(p_{A}-1\right)\left(q_{A}-1\right)$, we can conclude

$$
w^{\varphi\left(n_{A}\right)} \equiv\left(w^{q_{A}-1}\right)^{p_{A}-1} \equiv 1^{p_{A}-1} \equiv 1 \bmod q_{A}
$$

Moreover, $e_{A} d_{A} \equiv 1 \bmod \varphi\left(n_{A}\right)$, and thus $e_{A} d_{A}=j \varphi\left(n_{A}\right)+1$ for some positive integer $\mathfrak{j}$.

## Proof - continued

Consequently,

$$
w^{e_{A} d_{A}} \equiv w \bmod q_{A}
$$

But the last congruence is also true modulo $p_{A}$, since, by assumption, $p_{A}$ divides $w$, and thus $w^{e_{A} d_{A}}-w \equiv 0 \bmod p_{A}$. Hence, we can conclude $w^{e_{A}} \mathrm{~d}_{A} \equiv w \bmod n_{A}$.

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Finally, if both $p_{A}$ and $q_{A}$ divide $w$, then we trivially have $w^{e_{A} d_{A}}-w \equiv 0 \bmod p_{A}$ as well as $w^{e_{A} d_{A}}-w \equiv 0 \bmod q_{A}$, and thus $w^{e_{A} d_{A}} \equiv w \bmod n_{A}$.

## The RSA Public Key Cryptosystem III

## Question

What can be said concerning the security of the RSA cryptosystem?

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By its construction, breaking the RSA cipher is as most as hard as finding discrete roots modulo the composite number $n_{A}$. Computing discrete roots must be judged as feasible if the prime factorization of $n_{A}$ is known. Therefore, the cryptanalysis of the RSA cryptosystem is as most as hard as factoring. However, there is no known efficient algorithm for factoring a large composite number except for quantum computers which are currently not available.

## The RSA Public Key Cryptosystem IV

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As mentioned above, the difference between $p$ and $q$ should be large. For seeing this, we prove the following theorem:

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$p=2^{e} \pm 1$.
As mentioned above, the difference between $p$ and $q$ should be large. For seeing this, we prove the following theorem:

## Theorem 2

Let p and q be primes such that $\mathrm{p}>\mathrm{q}$ and $\mathrm{p}-\mathrm{q}=\mathrm{O}\left((\log \mathrm{p})^{\mathrm{c}}\right)$ for a "moderate" constant $\mathrm{c} \in \mathbb{N}$. Then, there exists an efficient algorithm for factoring $\mathrm{n}=\mathrm{pq}$.

## The RSA Public Key Cryptosystem V

Proof. Consider

$$
\begin{equation*}
\frac{(p+q)^{2}}{4}-n=\frac{p^{2}+2 p q+q^{2}-4 p q}{4}=\frac{(p-q)^{2}}{4} \tag{4}
\end{equation*}
$$

Thus, the left side is a perfect square. Then the following method may be applied for factoring $n$ :

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(1) Compute $\sqrt{n}$ within a precision of $\lfloor(\log n+2) / 2\rfloor$ bits.

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(1) Compute $\sqrt{n}$ within a precision of $\lfloor(\log n+2) / 2\rfloor$ bits.
(2) Check for $x=\lfloor\sqrt{n}\rfloor+1,\lfloor\sqrt{n}\rfloor+2, \ldots$ whether or not $x^{2}-n$ is a perfect square.
If it is, output $p=x+\sqrt{x^{2}-n}$ and $q=x-\sqrt{x^{2}-n}$.

## The RSA Public Key Cryptosystem VI

The correctness of the above algorithm can be shown as follows: First, assume that $\sqrt{n}$ has been computed within a precision of $\lfloor(\log n+2) / 2\rfloor$ bits. Then we have $\lfloor\sqrt{n}\rfloor$. (Exercise). Next, observe that

$$
\begin{equation*}
\frac{p+q}{2}>\sqrt{p q}=\sqrt{n} \tag{5}
\end{equation*}
$$

by applying the well-known inequality between arithmetic and geometric mean. Consequently, $\lfloor\sqrt{n}\rfloor<(p+q) / 2$ (* note that $(p+q) / 2$ is always an integer *). Thus, the algorithm must terminate by finding a perfect square and the first $x$ found must fulfill $x^{2} \leqslant \frac{(p+q)^{2}}{4}$ by (4) and (5). Thus, $x \leqslant \frac{p+q}{2}$.

## The RSA Public Key Cryptosystem VII

Case 1. $\mathrm{x}=\frac{\mathrm{p}+\mathrm{q}}{2}$.
Let $z^{2}=x^{2}-n$. By (4) we directly obtain $z=\frac{p-q}{2}$. Hence, $x+z=p$ and $x-z=q$.

## The RSA Public Key Cryptosystem VII

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Let $z^{2}=x^{2}-n$. By (4) we directly obtain $z=\frac{p-q}{2}$. Hence, $x+z=p$ and $x-z=q$.
Case 2. $\mathrm{x}<\frac{\mathrm{p}+\mathrm{q}}{2}$.
Since $z^{2}=x^{2}-n$ we obtain $n=x^{2}-z^{2}=(x+z)(x-z)$. Thus, $p=x+z$ and $q=x-z$, and therefore, $(p+q) / 2=x$, a contradiction.
This proves the correctness.

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This proves the correctness.
Finally, we show that the algorithm above is efficient.

## The RSA Public Key Cryptosystem VIII

The computation of $\sqrt{n}$ up to the desired precision can be easily performed using the Newton method, i.e.,

$$
x_{\ell+1}:=x_{\ell}-\frac{x_{\ell}^{2}-n}{2 x_{\ell}} \quad \text { for } \ell=0, \ldots,\lfloor(\log n+2) / 2\rfloor
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with $\mathrm{x}_{0}=\mathrm{n}$.

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$$

with $x_{0}=\mathrm{n}$. Taking into account that $\sqrt{\mathrm{n}}>\mathrm{q}=\mathrm{p}-(\mathrm{p}-\mathrm{q}) \approx \mathrm{p}-(\log p)^{\mathrm{c}}$ we see by (4) that

$$
\begin{equation*}
\frac{p+q}{2}=p-\frac{p-q}{2} \approx p-\frac{(\log p)^{c}}{2}>\sqrt{n} . \tag{6}
\end{equation*}
$$

Hence, $(p+q) / 2$ is only "a bit" greater than $\sqrt{n}$. Consequently, the algorithm has to try at most $(\log p)^{\mathrm{c}} / 2$ many candidates until its search terminates. But this is a polynomial in the length of the input, and hence we are done.

## The Diffie-Hellman Public Key Cryptosystem I

Finally, we take a look at the Diffie-Hellman Public Key
Cryptosystem. It is based on discrete logarithms.
Problem: Discrete Logarithms Input: Prime number $p \in \mathbb{N}, \mathrm{~b} \in \mathbb{Z}_{\mathrm{p}}^{*}$, and a generator g for $\mathbb{Z}_{\mathrm{p}}^{*}$. Problem: Compute the index $x$ such that $x=d \log _{g} b$.

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Input: Prime number $p \in \mathbb{N}, \mathrm{~b} \in \mathbb{Z}_{\mathfrak{p}}^{*}$, and a generator g for $\mathbb{Z}_{\mathfrak{p}}^{*}$.
Problem: Compute the index $x$ such that $x=\operatorname{dlog}_{g} b$.
So far, there is no known algorithm efficiently computing discrete logarithms for any standard model of sequential or parallel computation. On the other hand, a quantum computer would be able to compute discrete logarithms in polynomial time.

## The Diffie-Hellman Public Key Cryptosystem II

The Diffie-Hellman Public Key Cryptosystem requires a system designer. The system designer chooses a huge prime $q$ (preferably more than 4000 bits) and a generator g for $\mathbb{Z}_{\mathrm{q}}^{*}$. The prime $q$ and the generator $g$ are global information, and thus known to everybody participating in this public key cryptosystem.

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Next, we describe how the public and the secret key, respectively, are chosen by any participant. Subsequently, we provide the protocol used.

## The Diffie-Hellman Public Key Cryptosystem III

Let $A$ be any participant.
$A$ chooses randomly a number $x_{A} \in\{2, \ldots, q-1\}$ and computes $y_{A}=g^{x_{A}} \bmod q$. That is, $x_{A}=d \log _{g} y_{A}$. Participant $A$ publishes $y_{A}$ as her public key and keeps $x_{A}$ secret.

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Now, let A and B be any two participants who wish to communicate. Assume, B likes to send a message to $A$. Then the following key is used:
$B$ computes the key $K_{A B}=y_{A}^{\chi_{B}} \bmod q$.
Assume, $A$ likes to send a message to $B$. Then, $A$ computes
$K_{B A}=y_{B}^{\chi_{A}} \bmod q$.

## The Diffie-Hellman Public Key Cryptosystem IV

The following theorem shows the usefulness of these keys:

## Theorem 3

$K_{A B}=K_{B A}$

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$K_{A B}=K_{B A}$
Proof.

$$
\begin{aligned}
\mathrm{K}_{A B} & =y_{A}^{x_{B}} \equiv\left(g^{x_{A}}\right)^{x_{B}} \equiv g^{x_{A} x_{B}} \\
& \equiv\left(g^{x_{B}}\right)^{x_{A}} \equiv y_{B}^{x_{A}} \equiv K_{B A} \bmod q .
\end{aligned}
$$

## Remarks

Note, however, that $A$ and $B$ compute $K_{B A}$ and $K_{A B}$, respectively, using different information. That is, $A$ computes
$K_{B A}$ using her own secret key $x_{A}$ and the public key $y_{B}$ published by $B$ while Bob calculates $K_{B A}$ from his secret key $x_{B}$ and Alice's public key $y_{A}$.

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On the other hand, any cryptanalyst does neither possess $x_{A}$ nor $x_{B}$, hence she must compute $K_{B A}$ solely from $y_{A}$ and $y_{B}$. Since $K_{A B}=y_{A}{ }^{\operatorname{dlog}_{g} y_{B}} \bmod q$ this is at most as hard as computing discrete logarithms. Moreover, so far no easier method is known for computing $K_{B A}$ from $y_{A}$ and $y_{B}$. Therefore, it is widely believed that computing $K_{B A}$ from $y_{A}$ and $y_{B}$ is hard.

## The Diffie-Hellman Public Key Cryptosystem V

Next, we describe how the parties $A$ and $B$ can communicate. Taking Theorem 3 into account, two possibilities are imaginable. First, the system designer additionally provides any sufficiently advanced classical two-way cryptosystem, for example the AES. Then, any pair of users wishing to secretly communicate may use the key $\mathrm{K}_{\mathrm{BA}}$. Thus, no key exchange is required in advance.

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Note that public key cryptosystems are relatively slow compared to classical cryptosystems (at least to our present stage of technology and theoretical knowledge). Thus, it is sometimes more realistic to use them in the limited role in conjunction with a classical cryptosystem in which the actual messages are transmitted as described above.

## The Diffie-Hellman Public Key Cryptosystem VI

Second, the communication is performed by using directly the Diffie-Hellman system. The underlying idea is best explained using a bag having two locks.

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Each partner possesses exclusively one key for one of the two locks. Initially, both locks are unlocked. Now, A puts the message $w$ into the bag and locks her lock with her key. The bag is taken by a messenger who delivers the bag to B. Obviously, B is not able to unlock the bag right now, since he possesses only the key for the other lock. Therefore, B locks his lock using his key, and returns the bag to the messenger who is returning it to A. Now, A may unlock her lock, but the bag remains anyway locked. Finally, the messenger delivers the bag again to B. Now, B may unlock the bag using his key, and thus, he finally has access to the secret message $w$.

## The Diffie-Hellman Public Key Cryptosystem VII

The protocol described above has the following advantage: The public messenger always delivered a locked bag. On the other hand, $A$ and $B$ could exchange a secret message without exchanging any key.

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The protocol described above has the following advantage: The public messenger always delivered a locked bag. On the other hand, $A$ and $B$ could exchange a secret message without exchanging any key.
The impact of this method can be hardly overestimated.
Looking back into the history of cryptography, we see that the cryptography community unanimously agreed, for thousands of years, that the only way for two parties to establish secure communications was to first exchange a secret key. This was so much common wisdom that nobody questioned it. If the recipient did not have a secret key giving her the information needed to decrypt the message efficiently, how could she be in a better position than an eavesdropper?

## The Diffie-Hellman Public Key Cryptosystem VIII

Now, we outline the formal realization of the idea described above to use a bag with two locks. For that purpose, a small modification of the choices for $x_{A}$ and $x_{B}$, respectively, has to be made. Again, $A$ and $B$ randomly choose a number $x_{A}$ and $x_{B}$ between 2 and $q-1$.

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Now, we outline the formal realization of the idea described above to use a bag with two locks. For that purpose, a small modification of the choices for $x_{A}$ and $x_{B}$, respectively, has to be made. Again, $A$ and $B$ randomly choose a number $x_{A}$ and $x_{B}$ between 2 and $q-1$. Additionally, they must ensure that $\operatorname{gcd}\left(x_{A}, q-1\right)=1$ and $\operatorname{gcd}\left(x_{B}, q-1\right)=1$, respectively. This can be easily done by using the Euclidean algorithm, i.e., if the randomly chosen number does not fulfill this requirement a new number is randomly chosen until one is found that is relatively prime to $q-1$. Moreover, as shown previously the Euclidean algorithm can be also used for computing $x_{A}^{-1} \bmod (q-1)$ and $x_{B}^{-1} \bmod (q-1)$, respectively.

## The Diffie-Hellman Public Key Cryptosystem IX

Suppose, $A$ wishes to send B a message, and let $w$ be $A^{\prime}$ s plaintext.
(i) A sends $w^{x_{A}} \bmod q$ to $B$,
(ii) $B$ returns $w^{x_{A} x_{B}} \bmod q$ to $A$,
(iii) A computes $\hat{w} \equiv\left(w^{x_{A} x_{B}}\right)^{x_{A}^{-1}} \bmod q$ and sends the result $\hat{w}$ to B,
(iv) $B$ deciphers $\hat{w}$ by calculating $\hat{w}^{X_{B}^{-1}} \bmod q$.

The correctness of the above algorithm is an immediate consequence of the Theorem of Euler.

## Thank you!



Ralph C. Merkle


Martin Hellman


## Adi Shamir, Ron Rivest, Leonard Adleman



Whitfield Diffie


Martin Hellman

